

$$|R_2| = \frac{1}{|s-r||s-\bar{s}||s|^{n+1}} = \frac{1}{2|s-r||\operatorname{Im} s||s|^{n+1}} < .26/|s|^{n+1} < .2,$$

which along with (8) and (6) implies

$$T_n + R_1 = -R_2 - R_3,$$

so

$$|T_n + R_1| = |R_2 + R_3| \leq 2|R_2| < .4;$$

hence

$$T_n - .4 < -R_1 < T_n + .4$$

or, equivalently,

$$T_n < -R_1 + .4 < T_n + 1.$$

Substituting the value of R_1 from (4) into (9) we may rewrite (9) in terms of the greatest integer function and obtain the desired formula:

$$T_n = \left[\frac{1}{|r-s|^2 r^{n+1}} + .4 \right].$$

REFERENCES

1. P. Haggis. "An Analytic Proof of the Formula for F_n ," *The Fibonacci Quarterly* 2 (1964):267-68.
2. A. Scott, T. Delaney, & V. E. Hoggatt, Jr. "The Tribonacci Sequence." *The Fibonacci Quarterly* 15 (1977):193-200.

POLYNOMIALS ASSOCIATED WITH GEGENBAUER POLYNOMIALS

A. F. HORADAM

University of New England, Armidale, N.S.W., Australia

S. PETHE

University of Malaya, Kuala Lumpur 22-11, Malaysia

1. INTRODUCTION

Chebyshev polynomials $T_n(x)$ of the first kind and $U_n(x)$ of the second kind are, respectively, defined as follows:

$$T_n(x) = \cos(n \cos^{-1}x) \quad (|x| \leq 1),$$

$$U_n(x) = \frac{\sin[(n+1)\cos^{-1}x]}{\sin(\cos^{-1}x)} \quad (|x| \leq 1).$$

In 1974 Jaiswal [6] investigated polynomials $p_n(x)$ related to $U_n(x)$. In 1977 Horadam [5] obtained similar results for polynomials $q_n(x)$, associated with $T_n(x)$. The polynomials $p_n(x)$ and $q_n(x)$ are defined as follows:

$$(1) \quad \begin{cases} p_n(x) = 2xp_{n-1}(x) - p_{n-3}(x) & (n \geq 3) \text{ with} \\ p_0(x) = 0, p_1(x) = 1, p_2(x) = 2x \end{cases}$$

and

$$(2) \quad \begin{cases} q_n(x) = 2xq_{n-1}(x) - q_{n-3}(x) & (n \geq 3) \text{ with} \\ q_0(x) = 0, q_1(x) = 2, q_2(x) = 2x. \end{cases}$$

Chebyshev's polynomials of both kinds are special cases of Gegenbauer polynomials ([1], [2], [3], [8], [9]) $C_n^\lambda(x)$ ($\lambda > -\frac{1}{2}$, $|x| \leq 1$) defined by

$$C_0^\lambda(x) = 1, C_1^\lambda(x) = 2\lambda x,$$

with the recurrence relation

$$nC_n^\lambda(x) = 2(\lambda + n - 1)x C_{n-1}^\lambda(x) - (2\lambda + n - 2)C_{n-2}^\lambda(x), \quad n \geq 2.$$

Polynomials $C_n^\lambda(x)$ are related to $T_n(x)$ and $U_n(x)$ by the relations

$$T_n(x) = \frac{n}{2} \lim_{\lambda \rightarrow 0} \frac{C_n^\lambda(x)}{\lambda} \quad (n \geq 1)$$

and

$$U_n(x) = C_n^1(x).$$

In Jaiswal [6] and Horadam [5], it was established that $x = 1$ in (1) and (2) yields simple relationships with the Fibonacci numbers F_n defined by

$$F_0 = 0, F_1 = 1, \text{ and } F_n = F_{n-1} + F_{n-2} \quad (n \geq 2),$$

namely,

$$(3) \quad \begin{aligned} p_n(1) &= F_{n+2} - 1 \\ q_n(1) &= 2F_n. \end{aligned}$$

These results prompt the thought that some generalized Fibonacci connection might exist for $C_n^\lambda(x)$.

In the following sections, we define the polynomials $p_n^\lambda(x)$ related to $C_n^\lambda(x)$, determine their generating function, investigate a few properties, and exhibit the connection between these polynomials and Fibonacci numbers.

2. THE POLYNOMIALS $p_n^\lambda(x)$

Letting

$$(\lambda)_0 = 1 \quad \text{and} \quad (\lambda)_n = \lambda(\lambda + 1) \dots (\lambda + n - 1), \quad n = 1, 2, \dots,$$

we find that the first few Gegenbauer polynomials are

$$(4) \quad C_0^\lambda(x) = 1, C_1^\lambda(x) = 2\lambda x, C_2^\lambda(x) = \frac{(\lambda)_2}{2!}(2x)^2 - \lambda.$$

Listing the polynomials of (4) horizontally and taking sums along the rising diagonals, we get the resulting polynomials denoted by $p_n^\lambda(x)$. The first few polynomials $p_n^\lambda(x)$ are given by

$$(5) \quad p_1^\lambda(x) = 1, p_2^\lambda(x) = 2\lambda x, p_3^\lambda(x) = \frac{(\lambda)_2}{2!}(2x)^2, p_4^\lambda(x) = \frac{(\lambda)_3}{3!}(2x)^3 - \lambda.$$

We define $p_0^\lambda(x) = 0$.

3. GENERATING FUNCTION

Theorem 1: The generating function $G^\lambda(x, t)$ of $p_n^\lambda(x)$ is given by

$$G^\lambda(x, t) = \sum_{n=1}^{\infty} p_n^\lambda(x) t^{n-1} = (1 - 2xt + t^3)^{-\lambda}.$$

Proof: Putting $2x = y$ in (4) we obtain the following figure.

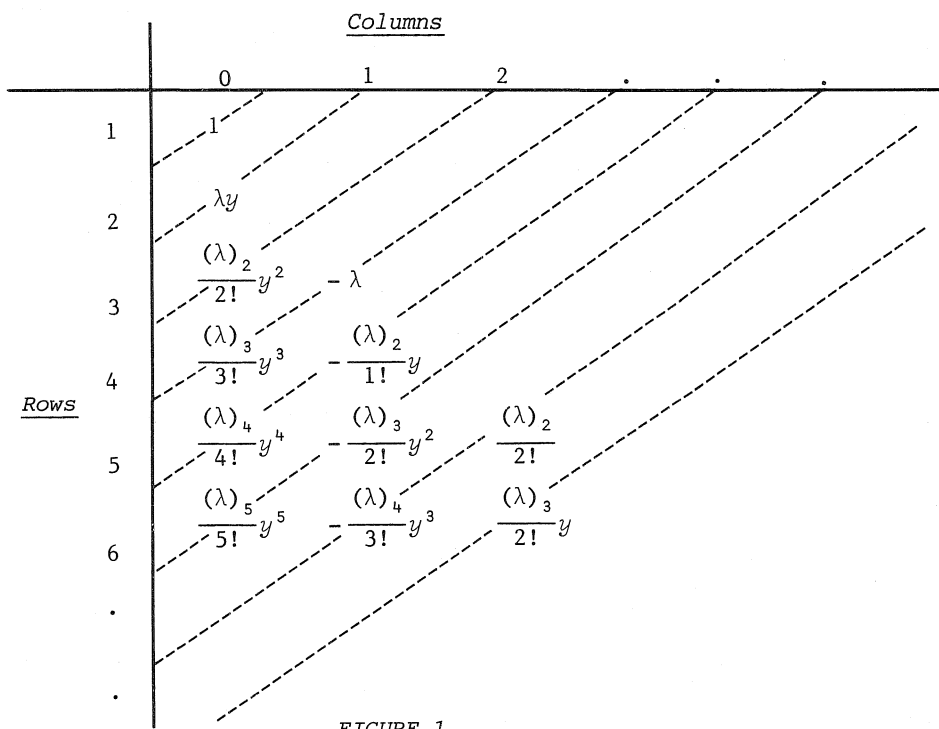


FIGURE 1

It is clear from Figure 1 that the generating function for the k th column is

$$\frac{(-1)^k (\lambda)_k}{k!} (1 - ty)^{-(\lambda+k)}.$$

Since $p_n^\lambda(x)$ are obtained by summing along the rising diagonals of Figure 1, the row-adjusted generating function for the k th column becomes

$$h_k^\lambda(y) = \frac{(-1)^k (\lambda)_k}{k!} (1 - ty)^{-(\lambda+k)} t^{3k}.$$

Since

$$\sum_{k=0}^{\infty} h_k^\lambda(y) = \sum_{k=0}^{\infty} \frac{(-1)^k (\lambda)_k}{k!} \left(\frac{t^3}{1 - ty} \right)^k (1 - ty)^{-\lambda} = (1 - ty + t^3)^{-\lambda},$$

the generating function of $p_n^\lambda(x)$ is given by

$$(6) \quad G^\lambda(x, t) = \sum_{n=1}^{\infty} p_n^\lambda(x) t^{n-1} = (1 - 2tx + t^3)^{-\lambda}.$$

Expanding the right-hand side of (6), we obtain

$$(7) \quad p_{n+1}^\lambda(x) = \sum_{k=0}^{[n/3]} \frac{(-1)^k (\lambda)_{n-2k}}{(n-2k)!} \binom{n-2k}{k} (2x)^{n-3k}.$$

Observe from (1), (5), (6), and (7) that $p_n^1(x) = p_n(x)$, $n = 0, 1, \dots$

4. RECURRENCE RELATION

Theorem 2: The recurrence relation is given by

$$(8) \quad p_n^\lambda(x) = \frac{(2x)(\lambda + n - 2)}{n - 1} p_{n-1}^\lambda(x) - \frac{3\lambda + n - 4}{n - 1} p_{n-3}^\lambda(x), \quad (n \geq 3).$$

Proof: From (7), the k th term on the right-hand side of (8) is

$$\begin{aligned} & (-1)^k \frac{(\lambda + n - 2)}{n - 1} \frac{(\lambda)_{n-2-2k}}{(n-2-2k)!} \binom{n-2-2k}{k} (2x)^{n-3k-1} \\ & - (-1)^{k-1} \frac{(3\lambda + n - 4)}{n - 1} \frac{(\lambda)_{n-4-2(k-1)}}{(n-4-2(k-1))!} \binom{n-4-2(k-1)}{k-1} (2x)^{n-3k-1}. \end{aligned}$$

After simplification, this becomes

$$\frac{(-1)^k (\lambda)_{n-1-2k} (2x)^{n-3k-1}}{k! (n-1-3k)!},$$

which is the k th term on the left-hand side of (8).

Ordinary Fibonacci numbers F_n are expressible in two equivalent forms:

$$(9) \quad \begin{cases} F_n = F_{n-1} + F_{n-2} \dots & (\alpha) \\ F_n = 2F_{n-1} - F_{n-3} \dots & (\beta). \end{cases}$$

Observe that expression (8) in Theorem 2 is of the form (8) in $p_n^\lambda(x)$. An attempt to obtain the recurrence relation in the corresponding form (8), namely,

$$p_n^\lambda(x) = Ap_{n-1}^\lambda(x) + Bp_{n-2}^\lambda(x),$$

where A and B are functions of λ , leads to an intractable cubic. Perhaps the form (8) that follows the patterns of the forms for $p_n(x)$ and $q_n(x)$ is the best available.

The following recurrence relation involving the derivatives of $p_n^\lambda(x)$ is easily proved.

Theorem 3:

$$(10) \quad 2x(p_{n+2}^\lambda(x))' - 3(p_n^\lambda(x))' = 2(n+1)p_{n+2}^\lambda(x).$$

Equation (10) corresponds to the similar results satisfied by $p_n(x)$ and $q_n(x)$.

5. THE POLYNOMIALS $S_n(x)$

Define

$$(11) \quad \begin{cases} S_0(x) = 0, S_1(x) = 3, \text{ and} \\ S_n^\lambda(x) \equiv S_n(x) = (n-1) \lim_{\lambda \rightarrow 0} \left[\frac{p_n^\lambda(x)}{\lambda} \right] \\ = \sum_{k=0}^{\lfloor \frac{n-1}{3} \rfloor} \frac{(-1)^k (n-1)}{n-2k-1} \binom{n-2k-1}{k} y^{n-1-3k}, \\ \quad (y = 2x), n \geq 2. \end{cases}$$

From (5) and (11) we obtain

$$(12) \quad \begin{cases} S_2(x) = 2x, S_3(x) = (2x)^2, S_4(x) = (2x)^3 - 3, \\ S_5(x) = (2x)^4 - 4(2x), S_6(x) = (2x)^5 - 5(2x)^2, \dots \end{cases}$$

Using (7) and (11) and following the argument of Theorem 2, we have

Theorem 4: $S_n(x) = 2xS_{n-1}(x) - S_{n-3}(x) \quad (n \geq 3).$

We readily observe the similarity of the form for $S_n(x)$ in Theorem 4 with the forms for $p_n(x)$ and $q_n(x)$ in (1) and (2).

Letting $\lambda = 1$ in (7), using (11), and comparing k th terms, we have

Theorem 5: $S_n(x) = p_n(x) - 2p_{n-3}(x) \quad (n \geq 3).$

Theorem 6: $S_n(x) = 2q_n(x) - p_n(x) \quad (n \geq 0).$

Proof: From Horadam [5, Eq. 6],

$$p_n(x) = q_n(x) + p_{n-3}(x) \quad (i)$$

Therefore,

$$\begin{aligned} S_n(x) &= p_n(x) - 2(p_n(x) - q_n(x)) && \text{from Theorem 5 and (i)} \\ &= 2q_n(x) - p_n(x), \end{aligned}$$

which proves the Theorem.

Letting $x = 1$, we have by (3)

$$S_n(1) = 2q_n(1) - p_n(1) = 2F_n - F_{n-1} + 1.$$

Using the known generating functions for $p_n(x)$ and $q_n(x)$ given in [6] and [5], respectively, we can readily deduce the generating function for $S_n(x)$ from Theorem 6.

Theorem 2 is valid for all x . Hence Theorem 4 also follows from Theorem 2 on dividing throughout by λ and letting $\lambda \rightarrow 0$.

6. THE POLYNOMIALS $q_n^\lambda(x)$

Instead of examining $p_n^\lambda(x)$ as obtained in (7), suppose one investigates the rising diagonal functions $q_n^\lambda(x)$ of

$$(13) \quad n \lim_{\lambda \rightarrow 0} \frac{q_n^\lambda(x)}{\lambda} \quad (n \geq 1).$$

An explicit formulation of $q_n^\lambda(x)$ is

$$(14) \quad q_n^\lambda(x) = \sum_{k=0}^{[n/3]} \frac{(-1)^k (n-k)(\lambda)_{n-2k}'}{(n-2k)!} \binom{n-2k}{k} y^{n-3k} \quad (y = 2x),$$

where

$$(15) \quad (\lambda)_{n-2k}' = \lambda(\lambda)_{n-2k}.$$

Writing

$$(16) \quad r_n^\lambda(x) = p_{n+1}^\lambda(x) - q_n^\lambda(x)$$

and using (7) and (14), we obtain

$$(17) \quad r_n^\lambda(x) = \sum_{k=0}^{[n/3]} \frac{(-1)^k (\lambda^{-1} - n + k)}{k!(n-3k)!} (\lambda)_{n-2k}' y^{n-3k}.$$

Results similar to those obtained for $p_n^\lambda(x)$ may be obtained for $q_n^\lambda(x)$. At this stage, it is not certain just how useful a study of $q_n^\lambda(x)$ and $r_n^\lambda(x)$ might be.

REFERENCES

1. A. Erdélyi *et al.* *Higher Transcendental Functions*. Vol. 2. New York: McGraw-Hill, 1953.
2. A. Erdélyi *et al.* *Tables of Integral Transforms*. Vol. 2. New York: McGraw-Hill, 1954.
3. L. Gegenbauer. "Zur Theorie der Functionen $C_n^\nu(x)$." *Osterreichische Akademie der Wissenschaften Mathematisch Naturwissenschaftliche Klasse Denkschriften*, 48 (1884):293-316.
4. A. F. Horadam. "Basic Properties of a Certain Generalized Sequence of Numbers." *The Fibonacci Quarterly* 3 (1965):161-76.
5. A. F. Horadam. "Polynomials Associated with Chebyshev Polynomials of the First Kind." *The Fibonacci Quarterly* 15 (1977):255-57.
6. D. V. Jaiswal. "On Polynomials Related to Tchebichef Polynomials of the Second Kind." *The Fibonacci Quarterly* 12 (1974):263-65.
7. W. Magnus, F. Oberhettinger, & R. P. Soni. *Formulas and Theorems for the Special Functions of Mathematical Physics*. Berlin: Springer-Verlag, 1966.
8. E. D. Rainville. *Special Functions*. New York: Macmillan, 1960.
9. G. Szegő. *Orthogonal Polynomials*. American Mathematical Society Colloquium Publications, 1939, Vol. 23.

ENUMERATION OF PERMUTATIONS BY SEQUENCES—II

L. CARLITZ

Duke University, Durham, NC 27706

1. André [1] discussed the enumeration of permutations by number of sequences; his results are reproduced in Netto's book [5, pp. 105-12]. Let $P(n, s)$ denote the number of permutations of $Z_n = \{1, 2, \dots, n\}$ with s ascending or descending sequences. It is convenient to put

$$(1.1) \quad P(0, s) = P(1, s) = \delta_{0, s}.$$

André proved that $P(n, s)$ satisfies

$$(1.2) \quad P(n+1, s) = sP(n, s) + 2P(n, s-1) + (n-s+1)P(n, s-2),$$

$$(n \geq 1).$$

The following generating function for $P(n, s)$ was obtained in [2]:

$$(1.3) \quad \sum_{s=0}^{\infty} (1-x^2)^{-n/2} \frac{z^n}{n!} \sum_{s=0}^n P(n+1, s) x^{n-s} = \frac{1-x}{1+x} \left(\frac{\sqrt{1-x^2} + \sin z}{x - \cos z} \right)^2.$$

However, an explicit formula for $P(n, s)$ was not found.

In the present note, we shall show how an explicit formula for $P(n, s)$ can be obtained. We show first that the polynomial

$$(1.4) \quad p_n(x) = \sum_{s=0}^n P(n+1, s) (-x)^{n-s}$$

satisfies

$$(1.5) \quad p_{2n}(x) = \frac{1}{2^{n-1}} (1-x)^{n-1} \left\{ 2 \sum_{k=1}^n (-1)^{n+k} A_{2n+1, k} T_{n-k+1}(x) - A_{2n+1, n+1} \right\}$$