## ON PELL PARTITIONS

# Arnold Knopfmacher

John Knopfmacher Centre for Applicable Analysis and Number Theory, University of the Witwatersrand, Johannesburg, South Africa

## Neville Robbins

Mathematics Department, San Francisco State University, San Francisco, CA 94132 (Submitted April 2002-Final Revision August 2002)

#### 1. INTRODUCTION

The Pell sequence, denoted  $\{P_n\}$ , is defined for  $n \geq 0$  by:

$$P_0 = 0, P_1 = 1, P_n = 2P_{n-1} + P_{n-2} \quad for \quad n \ge 2.$$
 (1)

Let  $U_n$  denote the number of partitions of the natural number n, all of whose parts belong to  $\{P_n\}$ . In this note, we present a recursive algorithm for computing  $U_n$ . The techniques used here are also applicable to other second order linear recurrences that have the property of being *super-increasing*, that is, where each term exceeds the sum of all its predecessors. In [1], the second author solved the corresponding problem for the Fibonacci sequence.

# 2. MAIN RESULTS

**Remarks**: It is easily seen from (1) that  $\{P_n\}$  is strictly increasing. Furthermore, we have: **Theorem 1**: If  $n \geq 1$ , then

$$\sum_{j=1}^{n} P_j = \frac{1}{2} (P_{n+1} + P_n - 1). \tag{2}$$

**Proof**: Use (1) and induction on n.

Next, we will show that every natural number has a unique *greedy* representation as a sum of Pell numbers. Let k be the unique index such that  $P_k \leq m < P_{k+1}$ . Now (1) implies  $P_k \leq m < 2P_k + P_{k-1}$ . In the greedy algorithm, we subtract from m the largest possible multiple of  $P_k$ , that is, we write:

$$m = tP_k + (m - tP_k)$$

where the multiplier  $t \in \{1, 2\}$ . We then iterate the process on the remainder and continue until we obtain a zero remainder. This yields a representation of m as a sum of Pell numbers. At each iteration, the values of the index and of the multiplier are uniquely determined. Therefore, the greedy Pell representation of m is unique.

For example, let m = 151. Since  $P_6 = 70 < 151 < 169 = P_7$ , we write 151 = 2(70) + 11. Since  $P_3 = 5 < 11 < 12 = P_4$ , we write 11 = 2(5) + 1. Since  $1 = P_1$ , we have 151 = 2(70) + 2(5) + 1.

**Theorem 2**: If  $m \in N$ , then m has a unique representation:

$$m = \sum_{i=1}^{k} c_i P_i$$

where each  $c_i \in \{0, 1, 2\}, c_k \neq 0$ , and if  $c_i = 2$ , then  $i \geq 2$  and  $c_{i-1} = 0$ .

**Proof**: The statement is trivially true if  $m = P_k$  for some  $k \ge 1$  or if  $m = 2P_k$  for some  $k \ge 2$ . In particular, therefore, it is true when  $m \in \{1,2\}$ . Otherwise, we let k be the unique integer such that  $P_k < m < P_{k+1}$ , that is,  $P_k < m < 2P_k + P_{k-1}$ , and use induction on k.

Case 1: If  $P_k < m < 2P_k$ , then  $0 < m - P_k < P_k$ , so by induction hypothesis, we have

$$m - P_k = \sum_{i=1}^{s} c_i P_i$$

where  $1 \le s \le k-1$ ,  $c_s \ne 0$ ,  $\forall c_i \in \{0,1,2\}$ , and if  $c_i = 2$ , then  $i \ge 2$  and  $c_{i-1} = 0$ . Therefore

$$m = P_k + \sum_{i=1}^{s} c_i P_i = \sum_{i=1}^{k} c_i P_i$$

where  $c_k = 1$  and if  $s \le k - 2$ , then  $c_{s+1} = c_{s+2} = \cdots = c_{k-1} = 0$ .

Case 2: If  $2P_k < m < 2P_k + P_{k-1}$ , then  $0 < m - 2P_k < P_{k-1}$ , so by induction hypothesis, we have

$$m - 2P_k = \sum_{i=1}^{s} c_i P_i$$

where  $1 \le s \le k-1$ ,  $c_s \ne 0, \forall c_i \in \{0,1,2\}$ , and if  $c_i = 2$ , then  $i \ge 2$  and  $c_{i-1} = 0$ . Therefore

$$m = 2P_k + \sum_{i=1}^{s} c_i P_i = \sum_{i=1}^{k} c_i P_i$$

where  $c_k = 2$  and  $c_{s+1} = c_{s+2} = \cdots = c_{k-1} = 0$ . Since k is uniquely determined, it follows that the greedy representation of m as a sum of Pell numbers is also unique.  $\square$ 

**Remarks**: If m > 1, then by repeated use of (1), one may generate additional Pell representations of m that satisfy some, but not all of the conditions of the conclusion of Theorem 2. For example,

$$30 = 29 + 1 = P_5 + P_1$$

but also

$$30 = 2(12) + 5 + 1 = 2P_4 + P_3 + P_1$$
.

The first of these two Pell representations of 30 is greedy; the second is not.

If  $\{u_n\}$  is a strictly increasing sequence of natural numbers, let

$$g(z) = \prod_{n>1} (1 - z^{u_n}). \tag{3}$$

The product in (9) converges absolutely to an analytic function without zeroes on compact subsets of the unit disc. Let g(z) have the Maclaurin series representation:

$$g(z) = \sum_{n \ge 0} a_n z^n. \tag{4}$$

Here  $a_0 = 1$ . If  $n \ge 1$ , then  $a_n$  is the difference between the number of partitions of n into evenly many distinct parts from  $\{u_n\}$  and the number of partitions of n into oddly many distinct parts from  $\{u_n\}$ . If we let

$$f(z) = 1/g(z) \tag{5}$$

then f(z) is also an analytic function without zeroes on compact subsets of the unit disc. We have:

$$f(z) = \prod_{n \ge 1} (1 - z^{u_n})^{-1} = \sum_{n \ge 0} U_n z^n$$
 (6)

with  $U_0 = 0$ , where  $U_n$  denotes the number of partitions of n into parts from  $\{u_n\}$ . Since f(z)g(z) = 1, we obtain the recurrence relation:

$$\sum_{k=0}^{n} a_{n-k} U_k = 0 (7)$$

for  $n \geq 1$ . This provides a convenient way to compute the  $U_n$ , once the  $a_n$  are known. The following theorem is helpful, not only for the Pell sequence, but for any sequence of natural numbers that is *super-increasing*.

**Theorem 3**: Let  $\{u_n\}$  be a strictly increasing sequence of natural numbers. If z is a complex variable such that |z| < 1, let

$$g(z) = \prod_{n \ge 1} (1 - z^{u_n}) = \sum_{n \ge 0} a_n z^n.$$

Suppose that

$$u_n > \sum_{j=1}^{n-1} u_j \quad \forall n \ge 2.$$

Then  $\forall n \geq 0$ , we have

$$a_n \in \{-1, 0, 1\}.$$

**Proof**: If  $m \geq 1$ , let

$$g_m(z) = \prod_{k=1}^m (1 - z^{u_k}) = \sum_{n \ge 0} a_{m,n} z^n.$$

An elementary argument shows that

$$\lim_{m \to \infty} g_m(z) = g(z)$$

so that

$$\lim_{m \to \infty} a_{m,n} = a_n \quad \forall n.$$

Therefore it suffices to prove that  $a_{m,n} \in \{-1, 0, 1\} \quad \forall m, n$ . This will be done by induction on m. Now

$$g_1(z) = 1 - z^{u_1}$$

so the statement holds for m = 1. Also

$$g_{m+1}(z) = (1 - z^{u_{m+1}})g_m(z).$$

Since  $u_{m+1} > \sum_{j=1}^m u_j$  by hypothesis, it follows that  $a_{m+1,n} = a_{m,n} \forall n \leq \sum_{j=1}^m u_j$ . The conclusion now follows by applying the induction hypothesis.  $\square$ 

The following theorem shows the relation between the greedy Pell representation of n and the coefficient  $a_n$ :

Theorem 4: Let

$$g(z) = \prod_{n \ge 1} (1 - z^{P_n}) = \sum_{n \ge 0} a_n z^n.$$

Let n have the greedy Pell representation:

$$n = \sum_{i=1}^{r} c_i P_i$$

where each  $c_i \in \{0, 1, 2\}$  and  $c_r \neq 0$ . If there exists i such that  $c_i = 2$ , then  $a_n = 0$ . Otherwise,  $a_n = (-1)^t$  where t is the number of indices, i, such that  $c_i = 1$ .

**Proof**: First, we verify that by (1) and Theorem 1,  $\{P_n\}$  is super-increasing, that is,

$$P_{n+1} > \sum_{j=1}^{n} P_j \quad \forall n \ge 1.$$

If 2 occurs as a digit, then since  $\{P_n\}$  is super-increasing, there can be no representation of n as a sum of distinct Pell numbers, so  $a_n = 0$ . If the greedy Pell representation of n has  $t \, 1's$  and no 2's, then n is the unique sum of t Pell numbers, so  $a_n = 1$  if t is even and  $a_n = -1$  if t is odd, that is,  $a_n = (-1)^t$ .  $\square$ 

# ON PELL PARTITIONS

We conclude by listing some numerical results in Table 1 below. For each n such that  $0 \le n \le 50$ , we list the greedy Pell representation of n, followed by  $a_n$  and  $U_n$ .

| n  | Pell(n) | $a_n$ | $U_n$ | n  | Pell(n) | $a_n$ | $U_n$ |
|----|---------|-------|-------|----|---------|-------|-------|
| 0  | 0       | 1     | 1     | 26 | 2010    | 0     | 63    |
| 1  | 1       | -1    | 1     | 27 | 2011    | 0     | 68    |
| 2  | 10      | -1    | 2     | 28 | 2020    | 0     | 74    |
| 3  | 11      | 1     | 2     | 29 | 10000   | -1    | 81    |
| 4  | 20      | 0     | 3     | 30 | 10001   | 1     | 88    |
| 5  | 100     | -1    | 4     | 31 | 10010   | 1     | 95    |
| 6  | 101     | 1     | 5     | 32 | 10011   | -1    | 103   |
| 7  | 110     | 1     | 6     | 33 | 10020   | 0     | 110   |
| 8  | 111     | -1    | 7     | 34 | 10100   | 1     | 120   |
| 9  | 120     | 0     | 8     | 35 | 10101   | -1    | 128   |
| 10 | 200     | 0     | 10    | 36 | 10110   | -1    | 139   |
| 11 | 201     | 0     | 11    | 37 | 10111   | 1     | 148   |
| 12 | 1000    | -1    | 14    | 38 | 10120   | 0     | 159   |
| 13 | 1001    | 1     | 15    | 39 | 10200   | 0     | 170   |
| 14 | 1010    | 1     | 18    | 40 | 10201   | 0     | 182   |
| 15 | 1011    | -1    | 20    | 41 | 11000   | 1     | 195   |
| 16 | 1020    | 0     | 23    | 42 | 11001   | -1    | 208   |
| 17 | 1100    | 1     | 26    | 43 | 11010   | -1    | 221   |
| 18 | 1101    | -1    | 29    | 44 | 11011   | 1     | 236   |
| 19 | 1110    | -1    | 32    | 45 | 11020   | 0     | 250   |
| 20 | 1111    | 1     | 36    | 46 | 11100   | -1    | 267   |
| 21 | 1120    | 0     | 39    | 47 | 11101   | 1     | 282   |
| 22 | 1200    | 0     | 44    | 48 | 11110   | 1     | 300   |
| 23 | 1201    | 0     | 47    | 49 | 11111   | -1    | 317   |
| 24 | 2000    | 0     | 53    | 50 | 11120   | 0     | 336   |
| 25 | 2001    | 0     | 57    |    |         |       |       |

Table 1: Pell Partitions

# REFERENCES

 $[1]\,$  N. Robbins. "Fibonacci partitions." The Fibonacci Quarterly  ${\bf 34}\,\,$  (1996): 306-313.

AMS Classification Numbers: 11P83

\*\*\*