

SOME GENERALIZATIONS OF A FORMULA OF REZNICK

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ABSTRACT. In 2008, Reznick published a formula for the statistical behavior of Stern's sequence modulo m . We reprove this result and, using it, prove similar results for other sequences.

1. INTRODUCTION

For a given integer sequence (x_n) , we define its *distribution modulo m* as the numbers

$$P(a, m) := \lim_{N \rightarrow \infty} \frac{1}{N} |\{n \leq N : x_n \equiv a \pmod{m}\}|. \quad (1.1)$$

These limits, of course, do not necessarily exist in which case we say that (x_n) has no distribution modulo m . A good reference for this topic is the last chapter of [9]. It is easy to see that in the special case where (x_n) is periodic these numbers do exist (for all m). For example, for a fixed m , $(F_n, F_{n+1}) \pmod{m}$ has only finitely many possible values and so is eventually periodic for all m (in fact periodic since the map $(a, b) \mapsto (b, a + b)$ is invertible). More is known about the distribution of the Fibonacci sequence: Niederreiter [12] has shown that if m is a power of 5 then the distribution is uniform (i.e., $P(a, m) = P(b, m)$ for all a, b) modulo m ; Kuipers and Shiu [10] have shown the converse.

For non-periodic sequences, other techniques must be used. In 2006, Reznick [18] showed that Stern's sequence, defined by $a_1 = 1$, $a_{2n} = a_n$, $a_{2n+1} = a_n + a_{n+1}$, has distribution

$$P(a, m) = \frac{1}{m} \prod_{p|m} \frac{p^2}{p^2 - 1} \prod_{p|(a,m)} \frac{p-1}{p}. \quad (1.2)$$

We shall give a new proof of this fact [Theorem 3.5] using Markov chains. This technique was mentioned, but not used, in [18].

A consequence of (1.2) is

$$P(i, m^k) = \frac{P(i, m)}{m^{k-1}}. \quad (1.3)$$

From this we prove that, for all m , $\lfloor \frac{a_n}{m} \rfloor$ is uniformly distributed modulo m^k for all k [Corollary 3.6].

In [15], an analogue (b_n) of Stern's sequence, using $x \oplus y = x + y + \sqrt{1 + 4xy}$ instead of $x + y$ in its definition, was introduced:

$$b_1 = 0, b_{2n} = b_n, b_{2n+1} = b_n \oplus b_{n+1} = b_n + b_{n+1} + \sqrt{1 + 4b_n b_{n+1}}.$$

Using the identity (Theorem 3.6 of [15])

$$b_k = a_{2^{j+1}-k} \cdot a_{k-2^j}, \quad (2^j \leq k \leq 2^{j+1}), \quad (1.4)$$

it follows that (b_n) has distribution

$$P(i, m) = \frac{1}{m} \prod_{p|m} \frac{p}{p+1} \prod_{p|(i,m)} 2 = \frac{2^{\omega(i,m)}}{\psi(m)} \tag{1.5}$$

where $\omega(m)$ is the number of distinct prime divisors of m and $\psi(m)$ is Dedekind’s psi-function [Theorem 4.2]. For this sequence, (1.3) holds and thus $\lfloor \frac{b_n}{m} \rfloor$ is uniformly distributed modulo m^k for all k [Corollary 4.3].

It is easy to see that

$$P(i, m) = \frac{1}{m} \prod_{p|(i,m)} \frac{f(p)}{p^{-1}} \cdot \prod_{\substack{p|m \\ p \nmid (i,m)}} \frac{1-f(p)}{1-p^{-1}} \tag{1.6}$$

where $f(p) = \frac{1}{p+1}$ and $f(p) = \frac{2}{p+1}$ for the distributions of (a_n) and (b_n) respectively. The arguments for Corollaries 3.6 and 4.3 carry over to any sequence with distribution of the form (1.6). Two questions come to mind: What sequences have distribution of the form (1.6)? What functions $f(p)$ are “represented” by a sequence with distribution (1.6)?

By equation (1.4), it turns out that the values of (b_n) are those attained by the quadratic form $Q(x, y) = xy$ over all the pairs of relatively prime non-negative integers x, y . This points to our next result: for a primitive integral quadratic form $Q(x, y)$ with discriminant Δ , when $\gcd(m, \Delta) = 1$, the sequence $(Q(a_n, a_{n+1}))$ has distribution of the form (1.6) where

$$f(p) = \frac{1 + \left(\frac{\Delta}{p}\right)}{p+1} \tag{1.7}$$

(here (Δ/p) is the usual Legendre symbol when p is odd, and is specially defined when $p = 2$) [Theorem 5.4]. Hence $x_n := Q(a_n, a_{n+1})$ satisfies, for all m relatively prime to Δ , $\lfloor x_n/m \rfloor$ is uniform mod m^k for all k [Corollary 5.5].

Lastly, we consider the sequence (R_n) where R_n is the number of ways to represent n as a sum of distinct Fibonacci numbers. This sequence, though similar to Stern’s sequence, does not share a distribution of form (1.6). In this case, we show that $P(0, m) = 1$ for all m [Theorem 6.3].

I thank my colleague Naveen Somasunderam for suggesting the problem “What is the distribution of $a_n \pmod m$?”, and Keith Conrad for answering, on MathOverflow, two questions that helped complete a proof of Theorem 5.4.

2. PRELIMINARIES

To show the limits

$$P(a, m) := \lim_{N \rightarrow \infty} \frac{1}{N} |\{n \leq N : x_n \equiv a \pmod m\}| \tag{2.1}$$

exist, we rely on the following lemma. Suppose $c_k \in \{0, 1\}$ for all k and define, for $m < n$,

$$A(m, n) := \frac{1}{n-m} \sum_{k=m+1}^n c_k. \tag{2.2}$$

Lemma 2.1. *If L is a number such that for all $\epsilon > 0$ there exists some j such that $A(2^j k, 2^j(k+1))$ is within ϵ of L for any k , then $\lim_{N \rightarrow \infty} A(0, N)$ exists and equals L .*

Proof. For any m, n with $m < n$, $A(2^j m, 2^j n)$ is the average of several values of the form $A(2^j k, 2^j(k + 1))$ and so is itself within ϵ of L . If $N = 2^j m + i$, $0 < i < 2^j$, then

$$A(0, N) = \frac{2^j m}{2^j m + i} A(0, 2^j m) + \frac{i}{2^j m + i} A(2^j m, 2^j m + i). \tag{2.3}$$

On the right, the first term is between $\frac{m}{m+1}(L - \epsilon)$ and $L + \epsilon$ while the second term is between 0 and $\frac{1}{m}$. For N large enough, $A(0, N)$ is within 2ϵ of L . The result follows. \square

3. STERN'S SEQUENCE MODULO m

3.1. Stern's diatomic array and sequence. Stern's diatomic array, sometimes thought of as "Pascal's triangle with memory", begins thus:

1									1							
1				2					1							
1		3		2		3			1							
1	4	3	5	2	5	3	4		1							
1	5	4	7	3	8	5	7	2	7	5	8	3	7	4	5	1
.

It is defined recursively: Start with row 1 1. Then, given the n th row, define the next one by copying the numbers on the n th row but inserting, in each gap, the sum of the two numbers above.

The numbers in the diatomic array, read like a book (but deleting the right-most column of 1s), form what is known as Stern's diatomic sequence which begins (for $n = 1, 2, \dots$):

$$a_n = 1, 1, 2, 1, 3, 2, 3, 1, 4, 3, 5, 2, 5, 3, 4, 1, 5, 4, 7, 3, 8, 5, 7, 2, 7, 5, 8, 3, 7, 4, 5, 1, 6, \dots \tag{3.1}$$

It is defined recursively by

$$a_1 = 1, \quad a_{2n} = a_n, \quad a_{2n+1} = a_n + a_{n+1}. \tag{3.2}$$

See [13] and its references, and sequence A002478 of [16], for information about this exceptional, and exceptionally well studied, sequence.

A key result for us is a well-know result (e.g., Theorem 5.1 of [13]).

Proposition 3.1. *Every ordered pair of relatively prime positive integers appears exactly once in the sequence (a_n, a_{n+1}) .*

3.2. Calkin-Wilf and Stern-Brocot Trees. We introduce a tree that first appeared in "Recounting the Rationals" [3] by Calkin and Wilf. See also Section 2.2 of [14]. Starting with $\frac{1}{1}$, we repeatedly apply the two maps $L : \frac{a}{b} \mapsto \frac{a}{a+b}$ and $R : \frac{a}{b} \mapsto \frac{a+b}{b}$.

It is easy to see that if $r_n := a_n/a_{n+1}$, then for all n

$$L : r_n \mapsto r_{2n} \text{ and } R : r_n \mapsto r_{2n+1}. \tag{3.3}$$

It follows, by Proposition 3.1, that the sequence (r_n) is an enumeration of the positive rationals and that every positive rational appears exactly once on the Calkin-Wilf tree.

We may assign an "address" to each node of a binary rooted tree with a word in $\{L, R\}^*$ via the obvious interpretation. For example, in the CW tree, $5/2$ is at location LRR and $1/1$ is at location addressed by the "empty word" $*$. We define a new rooted binary tree: to a node with address ω , assign the value on the CW tree with address ω' , the reverse of the word ω . For example, at node RRL , we assign the value $5/2$. This gives a new tree which we will call the Stern-Brocot tree (equivalent to the Stern-Brocot tree defined in [7], which can be easily checked).

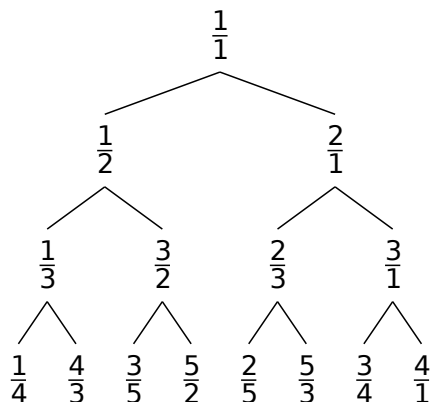


FIGURE 1. Calkin-Wilf Tree (or CW Tree).

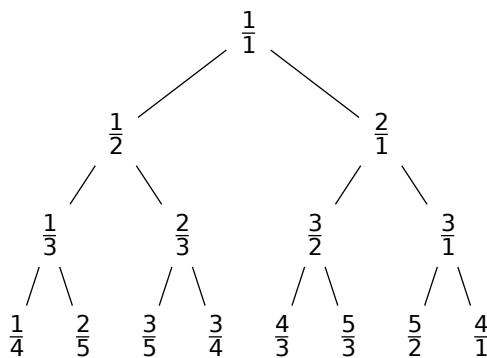


FIGURE 2. Stern-Brocot Tree (or SB Tree).

Conflating an address on the CW tree with the value assigned to it, note that if $\omega_1 < \omega_2$ then $\omega_1 L < \omega_2 L$ and $\omega_1 R < \omega_2 R$ and so, for all ω , $\omega_1 \omega < \omega_2 \omega$. Since $\omega_1 L < 1 < \omega_2 R$ for all ω_1, ω_2 , it follows that, on the SB tree, $\omega L \omega_1 < \omega R \omega_2$ for all $\omega_1, \omega_2, \omega$. Therefore, the n th row of the SB tree is a permutation (in fact, involution) of the n th row of the CW tree that orders those entries in increasing order.

We may define L' and R' for the SB tree in terms of Stern's sequence as follows:

$$L' : \frac{a_m}{a_n} \mapsto \frac{a_{2m-1}}{a_{2n+1}} \text{ and } R' : \frac{a_m}{a_n} \mapsto \frac{a_{2m+1}}{a_{2n-1}}. \tag{3.4}$$

The n th row of the SB tree is then $\frac{a_{2k+1}}{a_{2^n-2k-1}}$ for $k = 0, \dots, 2^n - 1$ and therefore the combined first n rows of the SB tree gives, when written in increasing order, $\frac{a_k}{a_{2^n-k}}$ for $k = 1, \dots, 2^{n+1}$.

Proposition 3.2. *The combined first $n - 1$ rows of the CW tree are the same as for the SB tree and give, in terms of Stern's sequence,*

$$\left\{ \frac{a_k}{a_{2^n-k}} : k = 1, \dots, 2^n \right\} = \left\{ \frac{a_k}{a_{k+1}} : k = 1, \dots, 2^n \right\}. \tag{3.5}$$

3.3. The CW tree mod m and its Markov chain. To get the “CW tree mod m ”, we replace each fraction $\frac{a}{b}$ in the CW tree with the ordered pair $(a \bmod m, b \bmod m)$. Since the entries of the CW tree include all of the positive rationals (in lowest terms), the CW tree

mod m has entries in

$$S_m := \{(i, j) \in \overline{m}^2 : \gcd(i, j, m) = 1\}. \tag{3.6}$$

The cardinality of S_m is thus $J_2(m)$, one of the Jordan totient functions. By a known product formula (see for example Exercise 1.5.2 of [11]), we have

Proposition 3.3. *For all m , $|S_m| = J_2(m) = m^2 \prod_{p|m} \left(1 - \frac{1}{p^2}\right)$.*

Consider assigning probability $\frac{1}{2}$ to each downward edge of the CW tree mod m . This creates a Markov chain with state space S_m ; here is when $m = 3$:

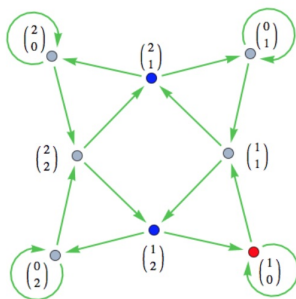


FIGURE 3. Markov chain for S_3 (with (a, b) replaced by $\binom{a}{b}$); from [8].

It turns out that every state is equally likely in the long run, independent of the starting state. This is true in general.

Lemma 3.4. *For every starting state, the distribution of $(a_n, a_{n+1}) \pmod m$ is uniform on S_m .*

Proof. Fix m . By Proposition 2.1, there is a sequence of steps in the Markov chain that goes from $(1, 1)$ to any particular (a, b) . Note that, modulo m , the map $L : (a, b) \mapsto (a, a + b)$ is invertible ($L^{-1} : (a, b) \mapsto (a, b - a)$) and its iterates eventually return to (a, b) . Hence $L^{-1} = L^k$ for some k . The same result holds for $R : (a, b) \mapsto (a + b, b)$. Hence, there is a sequence of steps that takes (a, b) to $(1, 1)$ and then onto any (c, d) of our choosing. Therefore, it is possible to get from any state to any other state: the Markov chain is irreducible.

Since $L((0, b)) = (0, b)$, the chain is non-periodic. It follows, by the “Fundamental Theorem of Markov Chains” (see, for example, [2]), that there exists a unique stationary distribution. Since L and R are invertible, every state has a 2 arrows out and 2 arrows in and so the uniform distribution is stationary. By uniqueness, it is the unique stationary distribution. That is, no matter what starting point, as n approaches ∞ , the distribution becomes uniform. This implies that for any node on the CW tree, the distribution modulo m of the 2^n descendants uniformly approaches the uniform distribution. \square

Example. For the case when $m = 3$,

$$\begin{matrix} 0 & 0 & 1 & 1 & 1 & 2 & 2 & 2 \\ \hline 1 & 2 & 0 & 1 & 2 & 0 & 1 & 2 \end{matrix} \tag{3.7}$$

become, in the long run, equally likely. The distribution of Stern’s sequence modulo 3 is then $P(0, 3) = 1/4$ and $P(1, 3) = P(2, 3) = 3/8$.

Theorem 3.5. *The distribution of Stern’s sequence modulo m is*

$$P(i, m) = \frac{1}{m} \prod_{p|m} \frac{p^2}{p^2 - 1} \prod_{p|(i,m)} \frac{p - 1}{p}. \tag{3.8}$$

Proof. By Lemma 3.4, the distribution of $a_n \pmod m$ satisfies

$$P(i, m) = |\{(k, j) \in S_m : k = i\}| / |S_m|. \tag{3.9}$$

Let $g := \gcd(i, m)$. Since

$$\begin{aligned} & |\{(k, j) \in S_m : k = i\}| = |\{j \in \overline{m} : \gcd(j, g) = 1\}| \\ &= \left| \left\{ \bigcup_{k=1}^{m/g} \{j \in \{kg + 1, \dots, kg + g\} : \gcd(j, g) = 1\} \right\} \right| \\ &= \frac{m}{g} \cdot |\{j \in \overline{g} : \gcd(j, g) = 1\}| = \frac{m\phi(g)}{g} = m \prod_{p|g} \frac{p - 1}{p}, \end{aligned}$$

the result follows by Proposition 3.3. □

3.4. A consequence. Although $a_n \pmod m$ is not uniformly distributed, it is, when rounded down one “digit”.

Corollary 3.6. *For all m , $\lfloor \frac{a_n}{m} \rfloor$ is distributed uniformly modulo m^k for all k .*

Proof. Note that, by Theorem 3.5, $P(i, m^k) = P(i, m)/m^{k-1}$.

Since $\lfloor \frac{a_n}{m} \rfloor \equiv i \pmod{m^k}$ if and only if $a_n \equiv (mi + j) \pmod{m^{k+1}}$ for some $j \in \overline{m}$, the distribution of $\lfloor \frac{a_n}{m} \rfloor \pmod{m^k}$ is

$$P(i, m^k) = \sum_{j=1}^m P(mj + i, m^{k+1}) = \sum_{j=1}^m P(i, m)/m^k = \frac{1}{m^k}. \tag{3.10}$$

□

Corollary 3.7. *For any prime p , $\lfloor \frac{a_n}{p} \rfloor$ is equidistributed in the p -adic integers \mathbb{Z}_p .*

Example. Here are values of $\lfloor a_n/10 \rfloor \pmod{100}$, $n \geq 5 \times 10^5$:

- 19, 9, 90, 61, 71, 95, 23, 76, 52, 90, 37, 23, 85, 4, 18, 51, 33, 46, 13, 94, 80, 8, 27, 75, 47, 56,
- 8, 70, 61, 36, 75, 89, 14, 93, 79, 45, 65, 82, 16, 68, 51, 91, 40, 28, 88, 14, 26, 63, 37, 72, 35,
- 32, 97, 56, 58, 18, 60, 4, 44, 27, 83, 90, 6, 30, 23, 25, 1, 80, 78, 12, 34, 89, 55, 98, 43, 31, . . .

3.5. The Chinese Remainder Theorem. We note that for any function $F : S_m \rightarrow \mathbb{Z}$, the sequence $F(a_n, a_{n+1}) \pmod m$ has a distribution. Under rather mild conditions, the distribution has a multiplicative property.

The Chinese Remainder Theorem states that if $m \perp n$ (i.e., m and n are relatively prime) then

$$\mathbb{Z}/(m) \times \mathbb{Z}/(n) \cong \mathbb{Z}/(mn). \tag{3.11}$$

If $*$ denotes this isomorphism so that, for example when $m = 2, n = 3$, $0 * 0 = 0, 1 * 1 = 1, 0 * 2 = 2, 1 * 0 = 3, 0 * 1 = 4$, and $1 * 2 = 5$, we have an induced isomorphism

$$S_m \times S_n \cong S_{mn}, [(i, j), (u, v)] \mapsto (i * u, j * v). \tag{3.12}$$

We say that a function $F : \mathbb{Z} \times \mathbb{Z} \rightarrow \mathbb{Z}$ is *normal* if, for all m ,

$$F(x, y) \equiv F(x \pmod m, y \pmod m) \pmod m. \tag{3.13}$$

Every polynomial in $\mathbb{Z}[x, y]$ is normal.

Lemma 3.8. *For a normal function F , the sequence $F(a_n, a_{n+1})$ has distribution satisfying*

$$P(i, m)P(j, n) = P(i * j, mn). \tag{3.14}$$

Proof. With isomorphism $*$ of (3.12), since

$$\begin{aligned} x * y &\equiv x \pmod m \text{ and } x * y \equiv y \pmod n, \\ F(i * u, j * v) &\equiv F(i, j) \pmod m \text{ and } F(i * u, j * v) \equiv F(u, v) \pmod n \end{aligned}$$

and so

$$F(i * j, u * v) \equiv F(i, j) * F(u, v) \pmod{mn}. \tag{3.15}$$

Hence, the isomorphism of (3.12) is a bijection between ordered pairs $((i, j), (u, v))$ of solutions of $F \equiv a \pmod m$ and $F \equiv b \pmod n$ and solutions $(i * u, j * v)$ of $F \equiv a * b \pmod{mn}$. \square

4. AN ANALOGUE OF STERN'S SEQUENCE

4.1. The distribution of $a_n a_{n+1}$. As in the proof of Theorem 3.5, we'll use Lemma 3.4 and a counting argument.

Dedekind's psi function is defined by $\psi(n) = n \prod_{p|n} \left(1 + \frac{1}{p}\right)$ and satisfies

$$\psi(n) = \phi(n) / J_2(n) \tag{4.1}$$

where, as was noted in Proposition 3.3,

$$J_2(n) = n^2 \prod \left(1 - \frac{1}{p^2}\right) \tag{4.2}$$

is one of the Jordan totient functions that is also the cardinality of S_n .

Lemma 4.1. *The distribution of $(a_n a_{n+1})$ satisfies, for prime powers p^n ,*

$$P(i, p^n) = \begin{cases} \frac{2}{\psi(p^n)} & \text{if } p|i, \\ \frac{1}{\psi(p^n)} & \text{if } p \nmid i. \end{cases} \tag{4.3}$$

Proof. Consider S_{p^ν} with each entry (i, j) replaced by the product ij . For example, applying this process to S_8 yields the array

$$S'_8 := \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ 2 & 6 & 6 & 2 & 6 \\ 0 & 3 & 6 & 1 & 4 & 7 & 2 & 5 \\ 4 & 4 & 4 & 4 \\ 0 & 5 & 2 & 7 & 4 & 1 & 6 & 3 \\ 6 & 2 & 6 & 2 \\ 0 & 7 & 6 & 5 & 4 & 3 & 2 & 1 \end{bmatrix}. \tag{4.4}$$

For each unit i (i.e., $\gcd(i, p) = 1$), the corresponding row $\{ij : j \in \overline{p^\nu}\}$ is a permutation of $\overline{p^\nu}$, the corresponding column $\{ji : j \in \overline{p^\nu}\}$ is a permutation of $\overline{p^\nu}$, and the whole of S'_{p^ν} is the union of these unit rows and unit columns.

A particular unit u appears once in each unit row and, since u must be a product of units, it can only occur at an intersection of a unit row and unit column. Hence u occurs $\phi(p^\nu)$ times in S'_{p^ν} .

A particular non-unit v appears once in each unit row and once in each unit column (but never at the intersection of a row and a column), and so v must occur $2\phi(p^\nu)$ times in S'_{p^ν} . The result follows. \square

Let $\omega(n)$ denote the number of distinct prime divisors of n and (i, m) denote the gcd of i, m . Lemmas 3.8 and 4.1 yield the following theorem.

Theorem 4.2. *The distribution of $(a_n a_{n+1})$ is*

$$P(i, m) = \frac{2^{\omega((i,m))}}{\psi(m)}. \tag{4.5}$$

An interesting rephrasing of the Riemann hypothesis is based on one involving Robin's inequality and ψ – see [17].

Conjecture 4.3. *For $N_k :=$ the product of the first k primes,*

$$P(1, N_k) < \frac{\pi^2}{6e^\gamma N_k \log N_k} \text{ for all } k > 2. \tag{4.6}$$

4.2. **The sequence (b_n) .** For non-negative real numbers a, b , let

$$a \oplus b = a + b + \sqrt{4ab + 1}. \tag{4.7}$$

We may form a “diatomic array”, as for Stern's sequence, but using \oplus instead of ordinary addition:

0																			0
0								1											0
0				2				1				2							0
0	3	2		6	1			6	2			3							0
0	4	3	10	2	15	6	12	1	12	6	15	2	10	3	4				0

An analogue of Stern's sequence is

$$b_1 = 0, \quad b_{2n} = b_n, \quad b_{2n+1} = b_n \oplus b_{n+1} \tag{4.8}$$

The sequence begins

$$0, 0, 1, 0, 2, 1, 2, 0, 3, 2, 6, 1, 6, 2, 3, 0, 4, 3, 10, 2, 15, 6, 12, 1, 12, 6, 15, \dots \tag{4.9}$$

Although this is an integer sequence (A272569 of [16]), it is hardly clear why it does not take on irrational values. Its connection with Stern's sequence, from Theorem 3.6 of [15], explains why and we state it with the following proposition.

Proposition 4.4. *If $2^j \leq k \leq 2^{j+1}$, then $b_k = a_{2^{j+1}-k} a_{k-2^j}$.*

4.3. **The distribution of (b_n) .** It follows, by replacing k by $2^j + k$ in Proposition 4.4, that if $0 \leq k \leq 2^j$ then

$$b_{2^j+k} = a_{2^j-k} a_k. \tag{4.10}$$

By Proposition 3.2, it follows that the sequence $b_{2^j}, b_{2^j+1}, \dots, b_{2^{j+1}-1}$ is an involution of the sequence $a_{2^j} a_{2^j+1}, a_{2^j+1} a_{2^j+2}, \dots, a_{2^{j+1}-1} a_{2^j+1}$. Hence, the distribution of (b_n) is that of $(a_n a_{n+1})$ (with the important caveat that (b_n) has some distribution).

A difficulty we encounter in this case is that the SB tree mod m does not represent a Markov chain: on the SB tree, $\frac{3}{4} \mapsto \frac{5}{7}, \frac{4}{5}$ and $\frac{3}{1} \mapsto \frac{5}{2}, \frac{4}{1}$ and so, mod 3, $\frac{0}{1} \mapsto \frac{2}{1}, \frac{1}{2}$ in the first case, but $\frac{0}{1} \mapsto \frac{1}{1}, \frac{2}{2}$ in the second. We may not then proceed as we did for the CW tree.

Let $A_0 := \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$ and $A_1 := \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$. It is a fact that A_0 and A_1 generate $SL_2(\mathbb{Z})$ and therefore, modulo m , generate $SL_2(\mathbb{Z}/(m))$ – see [5]. If $\omega := \omega_n \omega_{n-1} \dots \omega_0$ is a word in $\{0, 1\}^*$, let

$$[\omega] := \sum_{i=0}^n \omega_i \cdot 2^i \text{ and } A_\omega = A_{\omega_n} \cdot A_{\omega_{n-1}} \cdots A_{\omega_0}. \tag{4.11}$$

For example, $[01101] = 1 + 4 + 8 = 13$ and $A_{01101} = \begin{pmatrix} 3 & 5 \\ 4 & 7 \end{pmatrix}$. Note that the determinant of any A_ω is 1.

The following is easy to prove (and is left as an exercise for the reader). See [13] for a similar result.

Proposition 4.5. *For $\omega_j \dots \omega_0$, and $n = [\omega]$,*

$$A_\omega = \begin{pmatrix} a_{n+1} & a_n \\ a_{2^j-n-1} & a_{2^j-n} \end{pmatrix}. \tag{4.12}$$

Let M^* denote the anti-transpose of M and $M(x)$ be the Möbius transformation defined by M (i.e., $\begin{pmatrix} a & b \\ c & d \end{pmatrix}^* = \begin{pmatrix} d & b \\ c & a \end{pmatrix}$ and $\begin{pmatrix} a & b \\ c & d \end{pmatrix}(x) = \frac{ax+b}{cx+d}$). Note that if the words ω of length n are ordered lexicographically, then the n th row of the SB tree coincides with $A_\omega(1)$ and the n th row of the CW tree coincides with $A_\omega^*(1)$. This of course illustrates a common ground for the SB and CW trees.

Consider the tree formed by $A_\omega \mapsto A_{\omega 0}, A_{\omega 1}$. For each address (i.e. word) $\alpha \in \{L, R\}^*$, substitute 0 for L and 1 for R to get a word $w(\alpha)$ in $\{0, 1\}^*$ in Figure 4.

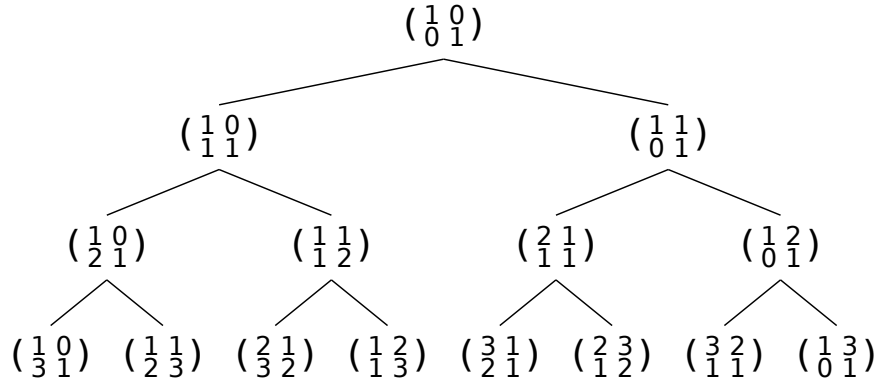


FIGURE 4. The path $A_* \rightarrow A_0 \rightarrow A_{01} \rightarrow A_{011}$ goes from $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ to $\begin{pmatrix} 1 & 2 \\ 1 & 3 \end{pmatrix}$.

Lemma 4.6. *If $\frac{a}{b}$ is at address α on the SB tree, then*

$$A_{w(\alpha)}(1) = \frac{a}{b}. \tag{4.13}$$

Let $G_m := SL_2(\mathbb{Z}/(m))$. Considering the elements of S_m as column vectors, the elements of G_m act on S_m by matrix multiplication on the left. For a fixed a , and every $\begin{pmatrix} a \\ b \end{pmatrix} \in S_m$, it is clear that A_0 fixes a and permutes the second coordinates. Hence A_0 permutes S_m . Similarly, A_1 permutes S_m as well and, since G_m is generated by A_0, A_1 (since $SL_2(\mathbb{Z})$ is – see [5]), every element of G_m permutes the elements of S_m . Therefore, if a finite sequence v_1, v_2, \dots, v_k in

S_m is nearly uniform (e.g., every $P(a, m)$ is within ϵ of $1/m$ for all a), then so is the sequence Mv_1, Mv_2, \dots, Mv_k .

A *subtree* of the SB-tree is the tree containing all vertices with addresses of the form $\omega_0\omega$ for some fixed ω_0 (as ω varies through $\{L, R\}^*$).

Consequently, modulo m , as $j \rightarrow \infty$, the j th row in any SB subtree approaches the uniform distribution uniformly over all ω_0 . Hence, by Lemma 2.1, we have the following theorem.

Theorem 4.7. *The distribution of (b_n) is*

$$P(i, m) = \frac{2^{\omega((i,m))}}{\psi(m)}. \tag{4.14}$$

This distribution satisfies equation (1.3) and thus, as in Corollary 3.6, we have the following.

Corollary 4.8. *For all m and $k > 0$, the sequences $\lfloor \frac{b_n}{m} \rfloor$ and $\lfloor \frac{a_n a_{n+1}}{m} \rfloor$ are uniformly distributed modulo m^k .*

4.4. Generalizations. It turns out that the two distributions defined above satisfy, for an appropriate $f(p)$,

$$P(i, m) = \frac{1}{m} \prod_{p|(i,m)} \frac{f(p)}{p-1} \cdot \prod_{\substack{p|m \\ p \nmid i,m}} \frac{1-f(p)}{1-p^{-1}}. \tag{4.15}$$

In particular, the distribution of (a_n) arises when $f(p) = \frac{1}{p+1}$ and the distribution of (b_n) arises when $f(p) = \frac{2}{p+1}$.

Every distribution described by equation (4.15) satisfies

$$P(0, p) = f(p), P(i, p) = P(j, p) \text{ whenever } p \nmid i, j \tag{4.16}$$

as well as the conclusion of Lemma 3.8.

It is worth noting that the uniform distribution is when $f(p) = \frac{1}{p}$ (and a sequence that has that distribution is, of course, (n)). An interesting question is: “for what functions $f(p)$ is there a sequence with a distribution given by (4.15)?” For example, $f(p) = 1$ gives

$$P(i, m) = \begin{cases} d/m & \text{if } d|i \\ 0 & \text{otherwise} \end{cases} \tag{4.17}$$

where d is the largest square-free divisor of m . The sequences $(n!)$ and (R_n) (the latter of which is studied later in this paper) have that distribution for square-free m only. Is there a sequence with this distribution for all m ?

5. QUADRATIC FORMS

A binary primitive integral quadratic form is a function of the form

$$Q(x, y) := Ax^2 + Bxy + Cy^2 \tag{5.1}$$

where A, B, C are relatively prime integers. Its *discriminant* is the quantity

$$\Delta := B^2 - 4AC. \tag{5.2}$$

For example, $Q(x, y) = xy$ has $[A, B, C] = [0, 1, 0]$ and thus $\Delta = 1$.

5.1. The distribution of $Q(a_n, a_{n+1})$ modulo 2. Let $c_n := Q(a_n, a_{n+1})$. This sequence has a distribution; we now find a formula for it. Note that, modulo 8, $\Delta \in \{0, 1, 4, 5\}$.

Lemma 5.1. *The sequence $c_n := Q(a_n, a_{n+1})$ has distribution satisfying*

$$P(0, 2) = \begin{cases} 0 & \text{if } \Delta \equiv 5 \pmod{8} \\ 1/3 & \text{if } \Delta \equiv 0 \text{ or } 4 \pmod{8} \\ 2/3 & \text{if } \Delta \equiv 1 \pmod{8}. \end{cases} \tag{5.3}$$

Proof. Let $a, b, c \in \{0, 1\}$ be defined by $A = 2\alpha + a$, $B = 2\beta + b$, $C = 2\gamma + c$ for some α, β, γ . Since Q is primitive, at least one of a, b, c is odd. Then, modulo 8,

$$\Delta = 4(\beta(\beta + b) - ac) + b^2. \tag{5.4}$$

Because consecutive values of a_n are relatively prime, it follows that, modulo 2, $(a_n, a_{n+1}) \in \{(0, 1), (1, 1), (1, 0)\}$. Further, since (a_n) is periodic (with period 3) modulo 2, the values of (a_n, a_{n+1}) cycle through $\{(0, 1), (1, 1), (1, 0)\}$ and therefore $c_n \pmod{2}$ cycles through $c, a + b + c, a$.

If $\Delta \pmod{8}$ is 0 or 4, then b is even, and thus exactly one of $c, a + b + c, a$ is even. If $\Delta \pmod{8}$ is odd, then $b = 1$ and, modulo 8, $\Delta = 1 + 4ac$. If $\Delta \equiv 1 \pmod{8}$, then exactly two of $c, a + b + c, a$ are even while if $\Delta \equiv 5 \pmod{8}$ then none of $c, a + b + c, a$ are even. The result follows. \square

The Legendre symbol is defined, for an odd prime p and integer n , to be

$$\left(\frac{n}{p}\right) = \begin{cases} 1 & \text{if } n \equiv x^2 \pmod{p} \text{ for some } x \\ -1 & \text{if } n \not\equiv x^2 \pmod{p} \text{ for all } x \\ 0 & \text{if } p|n. \end{cases} \tag{5.5}$$

It is generally left undefined for $p = 2$ and, for odd non-prime m , a Jacobi symbol is defined.

We define

$$\left(\frac{\Delta}{2}\right) = \begin{cases} 1 & \text{if } \Delta \equiv 1 \pmod{8} \\ -1 & \text{if } \Delta \equiv 5 \pmod{8} \\ 0 & \text{if } 2|\Delta \end{cases} \tag{5.6}$$

and so Lemma 5.1 states that $P(i, 2)$ satisfies (4.15) where

$$f(p) = \frac{1 + \left(\frac{\Delta}{p}\right)}{1 + p}. \tag{5.7}$$

We note that for Δ an odd prime, our $\left(\frac{\Delta}{2}\right)$ equals $\left(\frac{2}{\Delta}\right)$ (its values often called “the second supplement of the law of quadratic reciprocity”).

5.2. The distribution of $Q(a_n, a_{n+1})$ modulo p .

Lemma 5.2. *The number of solutions in S_p of $Q(x, y) = 0$ is*

$$(p - 1) \left(1 + \left(\frac{\Delta}{p}\right)\right). \tag{5.8}$$

If $p \nmid \Delta$, then the number of solutions in S_p of the equation $Q(x, y) = u$ for any unit $u \in F^\times$ is

$$p - \left(\frac{\Delta}{p}\right). \tag{5.9}$$

Proof. The case of $p = 2$ was covered earlier. Let p be an odd prime and let $F := \mathbb{Z}/(p)$, the field with p elements. If $A = C = 0$ then that is equivalent to $Q(x, y) = xy$ dealt with in the section on (b_n) . We may assume $A \neq 0$ since, otherwise, we can always switch x and y .

The equation

$$Ax^2 + Bux + Cu^2 = 0 \tag{5.10}$$

can then, by completing the square, be written as

$$\frac{(2Ax + Bu)^2}{u^2} = \Delta. \tag{5.11}$$

If $\left(\frac{\Delta}{p}\right) = 1$, then $\Delta = v^2$ for some unit v and so, for every unit u and choice of sign for v , there is a solution x of (5.11). Hence there are $2(p - 1)$ solutions altogether. If $\left(\frac{\Delta}{p}\right) = -1$, then $\Delta \neq x^2$ for any x and so (5.11) has no solutions. Lastly, if $\left(\frac{\Delta}{p}\right) = 0$ then $\Delta = 0$ in \mathbb{Z}_p and so there is one solution x to (5.11) for each unit u and so there are $p - 1$ solutions to (5.11) altogether. Equation (5.8) summarizes these three cases.

Suppose now that $p \nmid \Delta$ so that $\left(\frac{\Delta}{p}\right) = \pm 1$. We first seek the number of solutions of $Q = u$ where u is a unit and so, since u is arbitrary, we may take $A = 1$; we thus consider $x^2 + Bxy + Cy^2 = u$ where u is a unit.

Set $R = F[t]/(t^2 + Bt + C)$, a finite ring. The norm map $N_{R/F} : R \rightarrow F$ is multiplicative, and using the basis $\{1, t\}$

$$N_{R/F}(-x + yt) = \det \begin{pmatrix} -x & -Cy \\ y & -x - By \end{pmatrix} = Q(x, y). \tag{5.12}$$

Therefore the equation $x^2 + Bxy + Cy^2 = u$ is the same as $N_{R/F}(-x + yt) = u$ for $x, y \in F$. On units the norm map $N_{R/F} : R^\times \rightarrow F^\times$ is a group homomorphism, so as with all homomorphisms between finite groups, all values are taken on an equal number of times. Thus it remains to show the norm map $N_{R/F} : R^\times \rightarrow F^\times$ is surjective.

Case 1: $t^2 + Bt + C$ is irreducible in $F[t]$. Then R is a field so R^\times is cyclic and the norm map $N_{R/F} : R^\times \rightarrow F^\times$ on the nonzero elements of finite fields is onto (if $|F| = q$ then $|R| = q^2$ and a generator of R^\times is mapped to a generator of F^\times). This corresponds to $\left(\frac{\Delta}{p}\right) = -1$ and, in this case, $|R^\times| = p^2 - 1$ and thus the number of solutions is $|\ker(N_{R/F})| = p + 1$ for each unit.

Case 2: $t^2 + Bt + C$ is reducible in $F[t]$. Write it as $(t-r)(t-s)$. Since $B^2 - 4C = (r-s)^2$, from $B^2 - 4C \neq 0$ we have $r \neq s$. Then $R \simeq F[t]/(t-r) \times F[t]/(t-s)$ and in the basis $\{(1, 0), (0, 1)\}$, the norm mapping has the formula $N_{R/F}(x, y) = xy$ which maps $R^\times = F^\times \times F^\times$ onto F^\times . This corresponds to $\left(\frac{\Delta}{p}\right) = 1$ and, in this case, $|R^\times| = (p - 1)^2$ and thus the number of solutions is $|\ker(N_{R/F})| = p - 1$ for each unit. \square

5.3. The distribution of $Q(a_n, a_{n+1})$ where $m \perp \Delta$. In [6], Theorem 2.1, Conrad proves the following multi-dimensional Hensel's lemma.

Lemma 5.3. *If $|f(\mathbf{a})|_p < 1$ and $\|(\nabla f)(\mathbf{a})\|_p = 1$, then there exists some $\boldsymbol{\alpha} \in \mathbb{Z}_p^2$ such that $f(\boldsymbol{\alpha}) = 0$ and $\boldsymbol{\alpha} \equiv \mathbf{a} \pmod{p}$.*

Suppose $p \nmid \Delta$. Fix $z \in \mathbb{Z}_p$ and let $F(x, y) = Ax^2 + Bxy + Cy^2 - (1 + pz)$. If $\|\nabla F(x, y)\|_p < 1$ and $\gcd(x, y, p) = 1$ then p divides both $2Ax + By$ and $Bx + 2Cy$. This implies

$$\begin{pmatrix} 2A & B \\ B & 2C \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} \equiv \begin{pmatrix} 0 \\ 0 \end{pmatrix} \pmod{p} \tag{5.13}$$

and thus the determinant of the matrix, $B^2 - 4AC$, is divisible by p – a contradiction. Hence $\|\nabla F(x, y)\|_p = 1$ whenever $\gcd(x, y, p) = 1$.

Hence, for any solution $\mathbf{a} \in S_p$ of $Q(\mathbf{a}) = x$ ($x \in F$), there are $p^{\nu-1}$ solutions of $Q(\boldsymbol{\alpha}) \equiv x \pmod{p}$ in $\mathbb{Z}/(p^\nu)$. We have the following lemma.

Lemma 5.4. *If p is an odd prime and $p \nmid \Delta$, then*

$$P(i, p^\nu) = \begin{cases} \left(1 + \left(\frac{\Delta}{p}\right)\right) / \psi(p^\nu) & \text{if } p|i \\ \left(p - \left(\frac{\Delta}{p}\right)\right) / (\psi(p^\nu)(p - 1)) & \text{if } p \nmid i. \end{cases} \tag{5.14}$$

By Lemma 5.3 and the multiplicative property of $\psi(n)$, we have the following theorem.

Theorem 5.5. *For a binary primitive integral quadratic form Q with discriminant Δ , if $m \perp \Delta$ then*

$$P(i, m) = \frac{1}{m} \prod_{p|(i,m)} \frac{f(p)}{p^{-1}} \cdot \prod_{\substack{p|m \\ p \nmid (i,m)}} \frac{1 - f(p)}{1 - p^{-1}} \tag{5.15}$$

where

$$f(p) = \frac{1 + \left(\frac{\Delta}{p}\right)}{p + 1}. \tag{5.16}$$

Corollary 5.6. *For a binary primitive integral quadratic form Q , let $x_n := Q(a_n, a_{n+1})$. For all m relatively prime to Δ , $\lfloor \frac{x_n}{m} \rfloor$ is uniformly distributed modulo m^k for all k .*

5.4. Further directions. Curiously, the distribution of the primitive values of Q modulo m depends only on the discriminant of Q (as long as this discriminant and m are relatively prime). Since non-equivalent quadratic forms take on different values, it seems inevitable that their distributions will differ modulo m when $\gcd(m, \Delta) \neq 1$.

As for the section on (b_n) , the sequence

$$c_n := Q(a_{2^j+n}, a_{2^{j+1}-n}) \text{ for } 2^j \leq n \leq 2^{j+1} \tag{5.17}$$

has the same distribution as $Q(a_n, a_{n+1})$. Furthermore, (c_n) will obey the Stern-like recursion

$$c_{2n} = c_n, c_{2n+1} = c_n \oplus c_{n+1} \tag{5.18}$$

if \oplus is defined by

$$x \oplus y = x + y + \sqrt{4xy + \Delta} \tag{5.19}$$

or, equivalently, when $|ad - bc| = 1$ then

$$Q(a + b, c + d) = Q(a, c) \oplus Q(b, d). \tag{5.20}$$

6. FIBONACCI REPRESENTATIONS

Every integer can be represented in at least one way as a sum of distinct Fibonacci numbers – see [4].

Let R_n denote the number of ways to represent n as a sum of distinct Fibonacci numbers. Its generating function thus satisfies

$$\sum_{n=0}^{\infty} R_n x^n = \prod_{i=2}^{\infty} (1 + x^{F_i}). \tag{6.1}$$

It also has a recursive definition

$$R_n = \sum_{\sigma(i) \in \{n, n-1\}} R_i \tag{6.2}$$

where $\sigma(n) := \lfloor n\phi + \frac{1}{\phi} \rfloor$ is the “Fibonacci shift” (called ρ in [15]). This recursion can be implemented in Maple; here’s for the shifted sequence $r(n) = R_{n-1}$:

```
r := proc(n) option remember; if n < 2 then 1; elif sigma(n + 1) - sigma(n) = 2 then r(sigma(n) - n); else r(2*n - 2 - sigma(n - 1)) + r(2*n - 1 - sigma(n - 1)); end if; end proc
```

The first few terms of R_n are (for $n = 0, 1, 2, \dots$):

$$1, 1, 1, 2, 1, 2, 2, 1, 3, 2, 2, 3, 1, 3, 3, 2, 4, 2, 3, 3, 1, 4, 3, 3, 5, 2, 4, 4, 2, 5, 3, 3, 4, 1, 4, 4, 3, 6, \dots \tag{6.3}$$

6.1. **Words.** For a word $\omega \in \{0, 1\}^*$, let

$$R(\omega) := R_{[\omega]} \text{ where } [\omega_k \omega_{k-1} \dots \omega_0] := \sum_{i=0}^k \omega_i F_{i+2}. \tag{6.4}$$

We define the set of “Zeckendorf words” as

$$\mathbf{Z} := 1\{0, 01\}^* \tag{6.5}$$

and recall that for every positive integer n , there is a unique $\omega \in \mathbf{Z}$ such that $[\omega] = n$. We define the set of “blockhead words” to be

$$\mathbf{B} := 1\{00, 01\}^*00 \tag{6.6}$$

and define

$$\mathbf{\Lambda} := \{0, 010, 01010, \dots\} = 0\{10\}^*. \tag{6.7}$$

Lemma 6.1. For all $\Omega \in \mathbf{B}$ and $\omega \in \mathbf{Z} \cup \mathbf{\Lambda}$,

$$R(\Omega\omega) = R(\Omega)R(\omega). \tag{6.8}$$

Proof. For $\Omega \in \mathbf{B}$, if ρ is a Fibonacci representation of $[\Omega]$ then it must end in 00 or 11. For $\omega \in \mathbf{Z} \cup \mathbf{\Lambda}$, if ρ' is a Fibonacci representation of $[\omega]$ then it begins with 10 or 01 (and no other representation starts with 00). Therefore, every representation of $[\Omega\omega]$ is a concatenation of two words that represent $[\Omega]$ and $[\omega]$ respectively. \square

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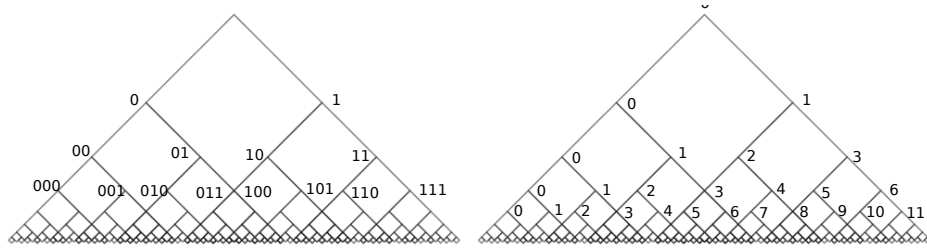


FIGURE 5. Vertices labeled by path, and by number.

6.2. Fibonacci triangle. In Figure 5, a Fibonacci hyperbolic graph (see [13, 15]). with vertices labeled with words in $\{0, 1\}^*$, sometimes in multiple ways. On the right are numerical values assigned in the obvious way. The fact that $R_3 = 2$ is illustrated by the two words 011, 100 on the left and 3 on the right.

Next, we label each square with the Zeckendorf word of its top vertex; Figure 6 is of the subtree headed by the word representing 3. There, the blockhead words are in boldface and they are at the head of “blocks” of the form $\Omega Z \cup \Omega \Lambda$.

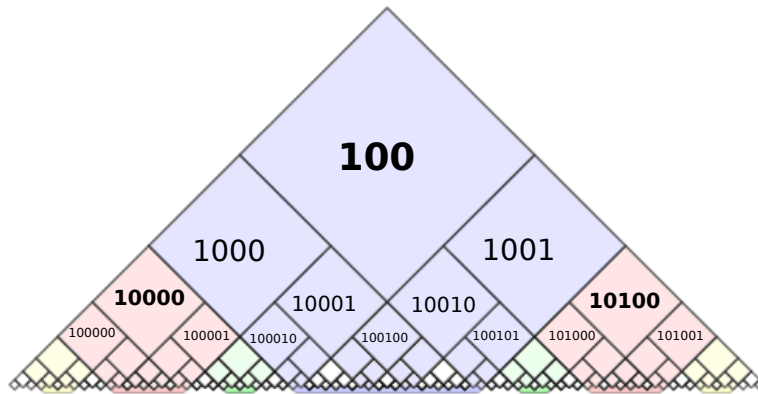


FIGURE 6. Sub-triangle labeled with Zeckendorf representations.

Following the construction of Pascal’s triangle, start with box on top of the Fibonacci triangle labeled 1 and then fill out according to the rule: for each square of side length $C\phi^{-n+1}$, take the sum of the numbers of all adjacent squares of side length $C\phi^{-n}$. The subtriangle corresponding to the one in Figure 6 are illustrated in Figure 7. The numbers in Figure 8 are, of course, just $R(\omega)$ for each ω in Figure 7.

A block headed by Ω is characterized in Figure 7, via Lemma 6.1, by having every number in it a multiple of $R(\Omega)$.

6.3. The function $g(n)$. Let $g(n)$ denote the sequence

$$1, 3, 4, 8, 9, 11, 12, 21, 22, 24, \dots \tag{6.9}$$

defined by, for $\epsilon_i \in \{0, 1\}$,

$$g : \sum_{i=0}^k \epsilon_i 2^i \mapsto \sum_{i=0}^k \epsilon_i F_{2i+2}. \tag{6.10}$$

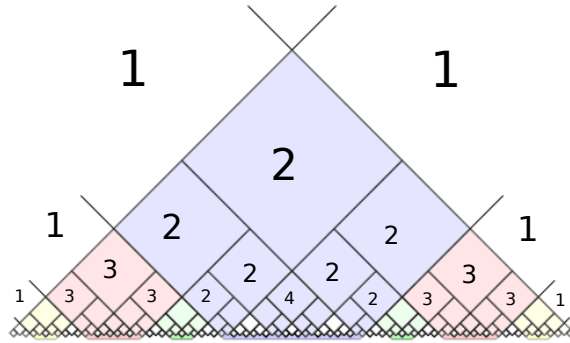


FIGURE 7. A triangle labeled by R_n .

The set $\{g(n)\}$ is the set of all numbers represented as a sum of distinct even-indexed Fibonacci numbers (OEIS sequence A054204 [16]). This function also satisfies the recursive definition:

$$g(1) = 1, g(2n) = g(n) + \sigma(g(n)), g(2n + 1) = g(2n) + 1 \tag{6.11}$$

with σ , the Fibonacci shift, defined above.

The following is an analogue of Theorem 4.1 of [13]

$$R_n = \sum_{\sigma(i)+j=n} \mathbb{I}_{g(\mathbb{N})}(i) \cdot \mathbb{I}_{g(\mathbb{N})}(j). \tag{6.12}$$

The following result, from a paper by Bicknell-Johnson (Theorem 2.1 of [1]), shows that Stern’s sequence is a subsequence of $(R(n))$.

Lemma 6.2. *For all j , $R(g(j)) = a_{j+1}$.*

Theorem 6.3. *For (R_n) , $P(0, m) = 1$ for all m .*

Proof. For each blockhead word $\Omega \in \mathbf{B}$, we define a “block” $\Omega(\mathbf{Z} \cup \mathbf{A}) := \{\Omega\omega : \omega \in \mathbf{Z} \cup \mathbf{A}\}$ and note that for every ρ in that block, $[\Omega]$ divides $[\rho]$. Let $|\omega|$ be the length of the word ω . Note that every Zeckendorf word of length at least 3 that does not represent 1 appears in one of the blocks.

In a block $\Omega(\mathbf{Z} \cup \mathbf{A})$, the number of words ω of length $n + |\Omega|$ is approximately F_n so, asymptotically,

$$P(0, [\Omega]) \geq \delta := \frac{1}{\phi^{|\Omega|}}. \tag{6.13}$$

But this is true of all blocks and, since the entire set of words is a union of blocks, we have that $P(0, [\Omega])$ is at least δ plus δ times what remains and, in general,

$$P(0, [\Omega]) \geq 1 - (1 - \delta)^n \text{ for all } n. \tag{6.14}$$

Hence $P(0, [\Omega]) = 1$ for each Ω and, by Lemma 6.2, since $g(2n) = a_{2n+1}$ takes on all positive integer values, the result follows. \square

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