\*L. CARLITZ and RICHARD SCOVILLE Duke University, Durham, North Carolina and V. E. HOGGATT, JR. San Jose State College, San Jose, California

#### 1. INTRODUCTION

We define the Fibonacci and Lucas numbers as usual by means of

$\mathbf{F}_{0}$	= 0,	F <sub>1</sub>	= 1,	$\mathbf{F}_{n+1} = \mathbf{F}_n + \mathbf{F}_n$	$F_{n-1}$ $(n \ge 1)$ ,	
$L_0$	= 2	. L <sub>1</sub>	= 1,	$L_{n+1} = L_n +$	$L_{n-1} \qquad (n \ge 1) .$	

We recall that every positive integer N can be written uniquely in the form

(1.1) 
$$N = F_{k_1} + F_{k_2} + \dots + F_{k_r}$$
,

where

(1.2) 
$$k_j - k_{j+1} \ge 2$$
 (j = 1, 2, ..., n - 1);  $k_r \ge 2$ .

If  $A_k^{}$  denotes the set of positive integers  $\{ N \}$  for which  $k_r^{}$  = k, it is clear that the sets

(1.3) 
$$\{A_k\}$$
  $(k = 2, 3, 4, \cdots)$ 

constitute a partition of the set of positive integers. We may refer to (1.3) as a Fibonacci partition of the positive integers. It is proved in [2] that the numbers in  $A_k$  can be described in terms of the greatest integer function. More precisely, if

$$\alpha = \frac{1}{2} \left( 1 + \sqrt{5} \right)$$

<sup>\*</sup>Supported in part by NSF Grant GP-17071.

and we put

(1.4) 
$$a(n) = [\alpha n], \qquad b(n) = [\alpha^2 n],$$

then we have

(1.5) 
$$A_{2t} = \{ab^{t-1}a(n) \mid n = 1, 2, 3, \cdots\}$$
  $(t = 1, 2, 3, \cdots),$ 

(1.6) 
$$A_{2t+1} = \{ b^{t}a(n) \mid n = 1, 2, 3, \cdots \}$$
  $(t = 1, 2, 3, \cdots).$ 

As is customary, powers and juxtaposition of functions should be interpreted as composition.

Turning next to representations as sums of Lucas numbers, we show first that every positive integer is uniquely representable either in the form

(1.7) 
$$N = L_{k_1} + \cdots + L_{k_r} + L_0$$
,

where

(1.8) 
$$k_j = k_{j+1} \ge 2$$
 (j = 1, 2, ..., r - 1);  $k_r \ge 3$ 

or in the form

(1.9) 
$$N = L_{k_1} + \cdots + L_{k_r}$$

where now

$$(1.10) k_j - k_{j+1} \ge 2 (j = 1, 2, \dots, r - 1); k_r \ge 1 ;$$

but not in both (1.7) and (1.9).

Let  $B_0$  denote the set of positive integers representable in the form (1.7) and let  $B_k$  denote the set of positive integers representable in the form (1.9) with  $k_r = k$ . Then as above the sets

(1.11)  $B_k \quad (k = 0, 1, 2, \cdots)$ 

30

1972]

### LUCAS REPRESENTATIONS

constitute a partition of the positive integers which may be called a Lucas partition. In the next section we shall prove the following.

$$(1.12) B_0 = \{a^2(n) + n \mid n = 1, 2, 3, \cdots\},$$

$$(1.13) B_1 = \{a^2(n) + n - 1 \mid n = 1, 2, 3, \cdots \},$$

and

(1.14) 
$$B_{2t+1} = \{ab^{t-1}a(n) + ab^{t}a(n) \mid n = 1, 2, 3, \cdots\}$$
  $(t = 1, 2, 3, \cdots),$   
(1.15)  $B_{2t} = \{b^{t-1}a(n) + b^{t}a(n) \mid n = 1, 2, 3, \cdots\}$   $(t = 1, 2, 3, \cdots).$ 

It is not difficult to show that an integer N  $% \left( {{\rm{B}}_{0}} \right)$  is and only if it is not representable in the form

(1.16) 
$$N = L_{k_1} + \cdots + L_{k_r}$$
,

where

$$k_1 > k_2 > \dots > k_r \ge 1$$
.

Let  $\nu(n)$  denote the number of integers  $\leq n$  that are not representable in the form (1.17). Hoggatt has conjectured that

(1.17) 
$$\nu(L_n) = F_{n-1}$$

and that, for fixed k,

(1.18) 
$$\nu(kL_n) = kF_{n-1}$$
,

if n is sufficiently large. The conjecture (1.17) was proved by Klarner; we shall prove (1.18) in Section 3 below.

## 2. SOME PROPERTIES OF THE LUCAS REPRESENTATION

Let  $P_n$  be the set of numbers that can be written in the form (1.7) with  $k_1 \leq n$ , and let  $Q_n$  be those that can be written in the form (1.9) with  $k_1 \leq n$ . Then we have

(2.1)  

$$P_{3} = \{2, 6\}$$

$$Q_{3} = \{1, 3, 4, 5\}$$

$$P_{4} = \{2, 6, 9\}$$

$$Q_{4} = \{1, 3, 4, 5, 7, 8, 10\}.$$

By induction we obtain the following theorem.

<u>Theorem 1.</u> Every positive integer can be uniquely represented in either the form (1.7) or the form (1.9), but not both. Moreover,

(2.2) 
$$P_n \cup Q_n = \{1, 2, \cdots, L_{n+1} - 1\}$$

$$(2.3) \qquad \qquad \operatorname{card} (P_n) = F_n$$

(2.4) 
$$card (Q_n) = F_{n+2} - 1.$$

Proof. We will prove (2.2)-(2.4) and also

$$(2.5) P_n \cap Q_n = \phi$$

by induction. Hence let us assume (2.2)-(2.5) up to and including the value n. Now by definition

$$P_{n+1} = P_n \cup (P_{n-1} + L_{n+1})$$
$$Q_{n+1} = Q_n \cup (Q_{n-1} + L_{n+1}) \cup \{L_{n+1}\}$$

and these unions are disjoint; if for instance,  $N \in P_{n-1} + L_{n+1}$ , then  $N > L_{n+1}$  and by (2.2)  $N \notin P_n$ , etc. Hence

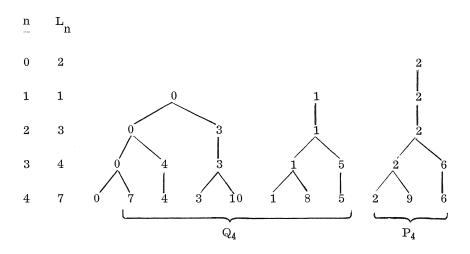
card 
$$(P_{n+1})$$
 = card  $(P_n)$  + card  $(P_{n-1})$  =  $F_{n+1}$ 

and

card 
$$(Q_{n+1}) = F_{n+2} - 1 + F_{n+1} - 1 + 1 = F_{n+3} - 1$$
.

The other properties are easily checked.

The following tree may aid the reader.



We turn next to the relations (1.12)-(1.15). We make use of the function e defined in [1]. The properties we need are the following (see [1] and [2]):

(i) If

$$N = F_{k_1} + \cdots + F_{k_r}, \quad k_r \ge 2, \quad k_1 > k_2 > \cdots > k_r$$

then

$$e(n) = F_{k_1-1} + \cdots + F_{k_r-1}$$
.

(ii) For every N,

$$e(a(N)) = N$$

1972]

34 and

$$e(b(N)) = a(N).$$

Theorem 2. The following relations hold.

(2.6) 
$$B_0 = \{a^2(n) + n \mid n = 1, 2, 3, \cdots \}$$

$$(2.7) B_1 = \{a^2(n) + n - 1 \mid n = 1, 2, 3, \cdots\}$$

(2.8) 
$$B_{2t} = \{b^{t-1}a(n) + b^{t}a(n) \mid n = 1, 2, 3, \cdots\}$$
  $(t = 1, 2, 3, \cdots)$ 

(2.9) 
$$B_{2t+1} = \{ab^{t-1}a(n) + ab^{t}a(n) \mid n = 1, 2, 3, \cdots\}$$
  $(t = 1, 2, 3, \cdots)$ .

<u>Proof.</u> Let N be an arbitrary positive integer. By (1.5), we have  $a^2(N) \in A_2.$  Hence

(2.10) 
$$a^2(N) = F_2 + \epsilon_4 F_4 + \cdots,$$

where  $\boldsymbol{\varepsilon}_i$  may assume the values 0 or 1. Applying e twice, we get

(2.11) 
$$N = F_1 + \epsilon_4 F_2 + \cdots$$

Adding (2.10) and (2.11), we get

(2.12) 
$$a^2(N) + N = 2 + \epsilon_4 L_3 + \cdots \in B_0$$
.

On the other hand, suppose

(2.13) 
$$\mathbf{M} = \mathbf{L}_0 + \boldsymbol{\epsilon}_3 \mathbf{L}_3 + \boldsymbol{\epsilon}_4 \mathbf{L}_4 + \cdots$$

is in  $B_0$ . Let

$$\mathbf{K} = \mathbf{F}_2 + \boldsymbol{\epsilon}_3 \mathbf{F}_4 + \boldsymbol{\epsilon}_4 \mathbf{F}_5 + \cdots$$

Since  $K \in A_2$ , by (1.5) K must be of the form  $a^2(M)$  for some M. Also  $M = e^2(a^2(M))$ . Hence

$$a^{2}(M) = F_{2} + \epsilon_{3}F_{4} + \epsilon_{4}F_{5} + \cdots$$
$$M = F_{1} + \epsilon_{3}F_{2} + \epsilon_{4}F_{3} + \cdots$$

and

$$N = M + a^{2}(M)$$

This proves (2.6). Equation (2.7) is clear from the definition. To prove (2.8), let N be arbitrary. Then

$$\mathrm{b}^{\mathrm{t}}\mathrm{a}$$
(N)  $\in$  A $_{2t+1}$  ,

by (1.6), so

$$b^{t}a(N) = F_{2t+1} + \epsilon_{2t+3}F_{2t+3} + \cdots$$

Applying e twice and adding we get

$$\mathbf{b}^{t}\mathbf{a}(\mathbf{N}) + \mathbf{b}^{t-1}(\mathbf{N}) = \mathbf{L}_{2t} + \boldsymbol{\epsilon}_{2t+2}\mathbf{L}_{2t+2} + \cdots \in \mathbf{B}_{2t}$$

Conversely, suppose  $\,\mathrm{N}\in\mathrm{B}_{2t}^{},\,$  so that

$$\mathbf{N} = \mathbf{L}_{2t} + \boldsymbol{\epsilon}_{2t+2} \mathbf{L}_{2t+2} + \cdots .$$

Put

$$\mathbf{M} = \mathbf{F}_{2t+1} + \boldsymbol{\epsilon}_{2t+2} \mathbf{F}_{2t+3} + \cdots$$

Then, by (1.6),  $M = b^{t}a(K)$  for some K. Moreover, since

$$e^{2}(M) = b^{t-1}a(K)$$

1972]

35

we have

36

$$N = b^{t}a(K) + b^{t-1}a(K)$$
.

This proves (2.8), and the proof of (2.9) is similar.

#### 3. PROOF OF HOGGATT'S CONJECTURES

<u>Theorem 3.</u> An integer N is in  $B_0$  if and only if it is not representable in the form

$$(3.1) N = L_{j_1} + \cdots + L_{j_S} ,$$

where

$$(3.2) j_1 > j_2 > \dots > j_S > 1.$$

Proof. If

$$(3.3) j_t - j_{t+1} \ge 2 (t = 1, \dots, s - 1),$$

then Theorem 3 is an immediate consequence of Theorem 1. Let u be the least positive integer such that

$$j_{u} - j_{u+1} = 1$$
.

In (3.1), replace

$$L_{j_u} + L_{j_{u+1}}$$
 by  $L_{j_u+1}$ 

and then repeat the process. Since

$$L_1 + L_2 + \cdots + L_k = L_{k+2} - 3,$$

we ultimately reach a representation of the form (3.1) that satisfies (3.3). This evidently proves the theorem.

1972]

Let  $\nu(n)$  denote the number of positive integers  $N \leq n$  that are not representable in the form (3.1), so that by the theorem just proved,  $\nu(n)$  is also the number of integers  $\leq n$  in  $B_0$ .

.

Theorem 4. We have

(3.4) 
$$\nu(\mathbf{n}) = \left[\frac{\mathbf{n}+2}{\alpha^2+1}\right]$$

Proof. By Theorem 2,

$$B_0 = \{aa(k) + k \mid k = 1, 2, 3, \cdots \}$$
$$= \{b(k) + k - 1 \mid k = 1, 2, 3, \cdots \}.$$

Thus v(n) is the largest integer k such that

$$b(k) + k \leq n + 1.$$

Since  $b(k) = [\alpha^2 k]$ ,  $\nu(n)$  is the largest k such that

$$\lceil (\alpha^2 + 1)k \rceil \leq n + 1 ,$$

that is, the largest  $\,k\,$  such that

$$(\alpha^2 + 1)k \leq n + 2$$
.

Thus (3.4) follows at once.

Theorem 5. We have

(3.5) 
$$\nu(L_n) = F_{n-1}$$
  $(n \ge 1)$ .

Proof. Since

$$L_n = \alpha^n + \beta^n$$
 ( $\alpha\beta = -1$ ),

it follows that

$$\frac{L_{n} + 2}{\alpha^{2} + 1} = \frac{\alpha^{n} + \beta^{n} + 2}{\alpha^{2} + 1} = \frac{\alpha^{n-1} - 2\beta - \beta^{n+1}}{\alpha - \beta}$$
$$= \frac{\alpha^{n-1} - \beta^{n-1}}{\alpha - \beta} + \frac{-2\beta + \beta^{n-1} - \beta^{n+1}}{\alpha - \beta}$$
$$= F_{n-1} + \frac{2 + \beta^{n-1}}{\alpha^{2} + 1} \quad .$$

It is easily verified that

$$0 < \frac{2+\beta^{n-1}}{\alpha^2+1} < 1$$
 (n  $\ge$  1).

Theorem 6. Let k be a fixed positive integer. Then

$$\nu(kL_n) = kF_{n-1}$$

for n sufficiently large.

Proof. We have

$$\frac{\mathrm{kL}_{n} + 2}{\alpha^{2} + 1} = \frac{\mathrm{k}(\alpha^{n} + \beta^{n}) + 2}{\alpha^{2} + 1} = \frac{\mathrm{k}(\alpha^{n-1} - \beta^{n+1}) - 2\beta}{\alpha - \beta}$$
$$= \mathrm{k} \frac{\alpha^{n-1} - \beta^{n-1}}{\alpha - \beta} + \frac{\mathrm{k}(\beta^{n-1} - \beta^{n+1}) - 2\beta}{\alpha - \beta}$$

For n sufficiently large it is clear that

$$0 < \frac{k(\beta^{n-1} - \beta^{n+1}) - 2\beta}{\alpha - \beta} < 1$$

so that

.

$$\left[\frac{kL_{n}+2}{\alpha^{2}+1}\right] = kF_{n-1}.$$

This completes the proof of the theorem.

Theorem 7. We have

(3.7) 
$$\nu(5F_n) = L_{n-1}$$
 (n > 1)

and

$$(3.8) \qquad \qquad \nu(5kF_n) = kL_{n-1}$$

for sufficiently large n.

Proof. To prove (3.7), note that

$$\frac{5F_n + 2}{\alpha^2 + 1} = \frac{(\alpha - \beta)(\alpha^n - \beta^n) + 2}{\alpha^2 + 1} = \frac{(\alpha - \beta)(\alpha^{n-1} + \beta^{n+1}) - 2\beta}{\alpha - \beta}$$
$$= \alpha^{n-1} + \beta^{n-1} - \beta^{n-1}(1 - \beta^2) - \frac{2\beta}{\alpha - \beta}$$
$$= L_{n-1} + \beta^n - \frac{2\beta}{\alpha - \beta} \quad .$$

Since

$$0 < \beta^{n} - \frac{2\beta}{\alpha - \beta} < 1 \qquad (n \ge 1) ,$$

(3.7) follows.

Next to prove (3.8) we take

$$\frac{5\mathrm{kF_n} + 2}{\alpha^2 + 1} = \frac{\mathrm{k}(\alpha - \beta)(\alpha^n - \beta^n) + 2}{\alpha^2 + 1} = \frac{\mathrm{k}(\alpha - \beta)(\alpha^{n-1} + \beta^{n+1}) - 2\beta}{\alpha - \beta}$$
$$= \mathrm{k}(\alpha^{n-1} + \beta^{n+1}) - \frac{2\beta}{\alpha - \beta} = \mathrm{k}(\alpha^{n-1} + \beta^{n-1}) + \mathrm{k}\beta^n - \frac{2\beta}{\alpha - \beta}$$

Since

$$0 < k\beta^n - \frac{2\beta}{\alpha - \beta} < 1$$

for n sufficiently large, Eq. (3.8) follows at once.

The last two theorems were also conjectured by Hoggatt.

# 4. GENERATING FUNCTIONS

Put

(4.1) 
$$\psi_{j}(0) = \sum_{n \in B_{j}} x^{n}$$
 (j = 0, 1, 2, ...).

In view of Theorem 2, Eq. (4.1) is equivalent to

(4.2) 
$$\psi_0(x) = \sum_{n=1}^{\infty} x^{a^3(n)+n}$$
,

(4.3) 
$$\psi_1(x) = \sum_{n=1}^{\infty} x^{a^2(n)+n-1}$$
,

(4.4) 
$$\psi_{2t+1}(x) = \sum_{n=1}^{\infty} x^{ab^{t-1}a(n)+ab^{t}a(n)}$$
  $(t \ge 1)$ 

(4.5) 
$$\psi_{2t}(x) = \sum_{n=1}^{\infty} x^{b^{t-1}a(n)+b^{t}a(n)}$$
  $(t \ge 1)$ .

Clearly

(4.6) 
$$\psi_0(x) = x\psi_1(x)$$
.

Also it is evident that

(4.7) 
$$\frac{x}{1-x} = \sum_{j=0}^{\infty} \psi_j(x) ,$$

so that, by (4.6),

(4.8) 
$$\frac{x}{1-x} = (1 + x)\psi_1(x) + \sum_{j=2}^{\infty} \psi_j(x) .$$

In the next place it follows from the definition of  $\, {\rm A}^{\phantom 1}_{\, {\rm r}} \,$  that

(4.9) 
$$\psi_{\mathbf{r}}(\mathbf{x}) = \mathbf{x}^{\mathbf{L}} \left\{ 1 + \sum_{j=r+2}^{\infty} \psi_{j}(\mathbf{x}) \right\}$$
  $(\mathbf{r} \ge 1)$ .

This implies

$$(4.10) \qquad x^{-L}r \psi_r(x) - x^{-L}r^{+1} \psi_{r+1}(x) = \psi_{r+2}(x) \qquad (r \ge 1) .$$

In particular, by (4.9),

$$\psi_1(x) = x \left\{ 1 + \sum_{j=3}^{\infty} \psi_j(x) \right\}$$
.

Combining this with (4.8), we get

(4.11) 
$$\frac{x}{1-x} = (1 + x + x^2)\psi_1(x) + x\psi_2(x) .$$

41

By means of (4.10) and (4.11) we can express all  $\psi_j(x),\ j>1,$  in terms of  $\psi_1(x).$  The first few formulas are

$$x\psi_2(x) = \frac{x}{1-x} - (1 + x + x^2)\psi_1(x)$$

$$x^{4}\psi_{3}(x) = -\frac{x}{1-x} + (1 + x + x^{2} + x^{3})\psi_{1}(x)$$

$$x^{8}\psi_{4}(x) = \frac{x + x^{5}}{1 - x} + \frac{1 - x^{7}}{1 - x}\psi_{1}(x)$$
.

Generally we have

(4.12) 
$$x^{L}r+1^{-3}\psi_{r}(x) = (-1)^{r}\left\{\frac{xA_{r}(x)}{1-x}\right\} - B_{r}(x)\psi_{1}(x)$$

where

(4.13) 
$$\begin{cases} A_{r+2}(x) = A_{r+1}(x) + x^{L}r^{+1}A_{r}(x) \\ B_{r+2}(x) = B_{r+1}(x) + x^{L}r^{+1}B_{r}(x) \end{cases}$$

together with the initial conditions

$$\begin{cases} A_2(x) = 1, & A_3(x) = 1 \\ B_2(x) = 1 + x + x^2, & B_3(x) = 1 + x + x^2 + x^3 \end{cases}$$

It follows that

(4.14) 
$$B_r(x) = \frac{1-x}{1-x}^{L}r$$

while [Continued on page 70.]